

# Mutual Funds - Commission Structure

## January 2025 onwards

This document includes details of trail commissions which the Bank receives, as a distributor of mutual funds, from the following Asset Management Companies (AMCs):

- Aditya Birla Sun Life Mutual Fund
- Axis Mutual Fund
- Bandhan Mutual Fund
- Canara Robeco Mutual Fund
- DSP Investment Managers
- Edelweiss Mutual Fund
- Franklin Templeton
- HDFC Mutual Fund
- HSBC Mutual Fund
- ICICI Prudential Mutual Fund
- Invesco Mutual Fund
- Kotak Mutual Fund
- Mirae Asset Mutual Fund
- Nippon Mutual Fund
- Parag Parikh Mutual Fund
- SBI Mutual Fund
- TATA Mutual Fund
- UTI Mutual Fund
- Whiteoak Capital Mutual Fund

# Aditya Birla Sun Life Mutual Fund

**Aditya Birla Sun Life  
Mutual Fund**



Structure effective 1st Jan'25 till further notice_ ARN-0022 THE HONGKONG AND SHANGHAI BANKING CORPORATION LTD		Total Brokerage (%) inclusive of all taxes				
Category	Scheme Name	Trail 1st yr	Trail 2nd yr	Trail 3rd Yr	Trail 4th Yr	Trail 5th Yr Onwards
Equity Funds	Aditya Birla Sun Life Balanced Advantage Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Multi Asset Allocation Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life Multi-Cap Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Flexi Cap Fund	1.05	1.05	1.05	1.05	1.05
	Aditya Birla Sun Life Frontline Equity Fund	1	1	1	1	1
	Aditya Birla Sun Life Small Cap Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Midcap Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Equity Advantage Fund	1.00	1.00	1.00	1.00	1.00
	Aditya Birla Sun Life Focused Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Pure Value Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Business Cycle Fund	1.25	1.25	1.25	1.25	1.25
	Aditya Birla Sun Life India GenNext Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life Digital India Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life Banking and Financial Services Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life PSU Equity Fund	1.20	1.20	1.20	1.20	1.20
	Aditya Birla Sun Life Quant Fund	1.10	1.10	1.10	1.10	1.10
	Aditya Birla Sun Life Pharma & Healthcare Fund	1.40	1.40	1.40	1.40	1.40
	Aditya Birla Sun Life Conglomerate Fund	1.20	1.20	1.20	1.20	1.20
Other Equity Funds	Aditya Birla Sun Life MNC Fund	1.00	1.00	1.00	1.00	1.00
	Aditya Birla Sun Life Dividend Yield Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life ESG Integration Strategy Fund	1.20	1.20	1.20	1.20	1.20
	Aditya Birla Sun Life Special Opportunities Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life Transportation and Logistics Fund	1.15	1.15	1.15	1.15	1.15
	Aditya Birla Sun Life Infrastructure Fund	1.20	1.20	1.20	1.20	1.20
	Aditya Birla Sun Life Manufacturing Equity Fund	1.20	1.20	1.20	1.20	1.20
Hybrid Funds	Aditya Birla Sun Life Regular Savings Fund	1.00	1.00	1.00	1.00	1.00
	Aditya Birla Sun Life Equity Savings Fund	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Equity Hybrid '95 Fund	0.95	0.95	0.95	0.95	0.95
Liquid Funds	Aditya Birla Sun Life Overnight Fund	0.09	0.09	0.09	0.09	0.09
	Aditya Birla Sun Life Liquid Fund	0.10	0.10	0.10	0.10	0.10
Debt Funds	Aditya Birla Sun Life Money Manager Fund	0.10	0.10	0.10	0.10	0.10
	Aditya Birla Sun Life Floating Rate Fund	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life Savings Fund	0.20	0.20	0.20	0.20	0.20
	Aditya Birla Sun Life Low Duration Fund	0.80	0.80	0.80	0.80	0.80
	Aditya Birla Sun Life Corporate Bond Fund	0.20	0.20	0.20	0.20	0.20
	Aditya Birla Sun Life Banking & PSU Debt Fund	0.35	0.35	0.35	0.35	0.35
	Aditya Birla Sun Life Short Term Fund	0.60	0.60	0.60	0.60	0.60
	Aditya Birla Sun Life Dynamic Bond Fund	0.70	0.70	0.70	0.70	0.70
	Aditya Birla Sun Life Credit Risk Fund	0.80	0.80	0.80	0.80	0.80
	Aditya Birla Sun Life Medium Term Plan	0.80	0.80	0.80	0.80	0.80
	Aditya Birla Sun Life Income Fund	0.45	0.45	0.45	0.45	0.45
	Aditya Birla Sun Life Long Duration Fund	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Government Securities Fund	0.50	0.50	0.50	0.50	0.50
	Aditya Birla Sun Life Arbitrage Fund	0.60	0.60	0.60	0.60	0.60
Arbitrage Fund	Aditya Birla Sun Life Arbitrage Fund	0.60	0.60	0.60	0.60	0.60
Solution Oriented Funds	Aditya Birla Sun Life Bal Bhavishya Yojna	1.30	1.30	1.30	1.30	1.30
	Aditya Birla Sun Life Retirement Fund - The 30s Plan	1.40	1.40	1.40	1.40	1.40
	Aditya Birla Sun Life Retirement Fund - The 40s Plan	1.40	1.40	1.40	1.40	1.40
	Aditya Birla Sun Life Retirement Fund - The 50s Plan	1.20	1.20	1.20	1.20	1.20
	Aditya Birla Sun Life Retirement Fund 'The 50s Plus - Debt Plan	1.30	1.30	1.30	1.30	1.30
	Aditya Birla Sun Life ELSS Tax Saver Fund	1.00	1.00	1.00	1.00	1.00
	Aditya Birla Sun Life ELSS 50 Index Fund	0.25	0.25	0.25	0.25	0.25
Equity Index Funds	Aditya Birla Sun Life Nifty 50 Equal Weight Index Fund	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Nifty Next 50 Index Fund	0.45	0.45	0.45	0.45	0.45
	Aditya Birla Sun Life Nifty Midcap 150 Index Fund	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Nifty India Defence Index Fund	0.60	0.60	0.60	0.60	0.60
	Aditya Birla Sun Life BSE India Infrastructure Index Fund (wef 05th Dec'24)	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Nifty Smallcap 50 Index Fund	0.55	0.55	0.55	0.55	0.55
Debt Index Funds	Aditya Birla Sun Life CRISIL IBX 60:40 SDL + AAA PSU - Apr 2025 Index Fund	0.15	0.15	0.15	0.15	0.15
	Aditya Birla Sun Life Nifty SDL Sep 2025 Index Fund	0.12	0.12	0.12	0.12	0.12
	Aditya Birla Sun Life CRISIL IBX Gilt - April 2026 Index Fund	0.12	0.12	0.12	0.12	0.12
	Aditya Birla Sun Life CRISIL IBX 60:40 SDL + AAA PSU Apr 2026 Index Fund	0.20	0.20	0.20	0.20	0.20
	Aditya Birla Sun Life NIFTY SDL Plus PSU Bond Sep 2026 60:40 Index Fund	0.15	0.15	0.15	0.15	0.15
	Aditya Birla Sun Life CRISIL IBX 60:40 SDL + AAA PSU - Apr 2027 Index Fund	0.20	0.20	0.20	0.20	0.20
	Aditya Birla Sun Life Nifty SDL Apr 2027 Index Fund	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life Nifty SDL Sep 2027 Index Fund	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life CRISIL IBX Gilt Apr 2028 Index Fund	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life CRISIL IBX 50:50 Gilt Plus SDL Apr 2028 Index Fund	0.15	0.15	0.15	0.15	0.15
	Aditya Birla Sun Life CRISIL IBX Gilt Apr 2029 Index Fund	0.15	0.15	0.15	0.15	0.15
	Aditya Birla Sun Life CRISIL IBX SDL Jun 2032 Index Fund	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life CRISIL IBX Gilt June 2027 Index Fund	0.25	0.25	0.25	0.25	0.25
	ADITYA BIRLA SUN LIFE CRISIL-IBX AAA NBFC-HFC INDEX SEP 2026 FUND	0.25	0.25	0.25	0.25	0.25
	ADITYA BIRLA SUN LIFE CRISIL-IBX AAA NBFC-HFC INDEX DEC 2025 FUND	0.20	0.20	0.20	0.20	0.20
	ADITYA BIRLA SUN LIFE CRISIL-IBX AAA NBFC Services INDEX SEP 2027 FUND	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life CRISIL IBX Gilt April 2033 Index Fund	0.20	0.20	0.20	0.20	0.20
	Aditya Birla Sun Life CRISIL-IBX Financial Services 3 to 6 Months Debt Index Fund	0.18	0.18	0.18	0.18	0.18
	Aditya Birla Sun Life Gold Fund	0.30	0.30	0.30	0.30	0.30
	Aditya Birla Sun Life Silver ETF Fund of Fund	0.35	0.35	0.35	0.35	0.35
Fund of Funds	Aditya Birla Sun Life Multi - Index Fund Of Funds	0.15	0.15	0.15	0.15	0.15
	Aditya Birla Sun Life Active Debt Multi Manager FoF Scheme	0.25	0.25	0.25	0.25	0.25
	Aditya Birla Sun Life Financial Planning FOF - Conservative Plan	0.40	0.40	0.40	0.40	0.40
	Aditya Birla Sun Life Financial Planning FOF - Moderate Plan	0.50	0.50	0.50	0.50	0.50
	Aditya Birla Sun Life Financial Planning FOF-Aggressive Plan	0.55	0.55	0.55	0.55	0.55
	Aditya Birla Sun Life Asset Allocator FoF	0.90	0.90	0.90	0.90	0.90
	Aditya Birla Sun Life Global Emerging Opportunities Fund	0.50	0.50	0.50	0.50	0.50
Global Funds	Aditya Birla Sun Life Global Excellence Equity Fund of Fund	0.60	0.60	0.60	0.60	0.60
	Aditya Birla Sun Life International Equity Fund	1.10	1.10	1.10	1.10	1.10

Refer attached Notes and T&C for more details
<b>Notes</b>
Load Structure *: Please refer OD / SID / KIM / FactSheet / Addendums for updated details.
<b>Terms and conditions</b>
<p>Aditya Birla Sun Life AMC Limited Reserves the right to change/modify/discontinue/withhold the rates and slabs mentioned at its sole discretion without any prior intimation or notification or in case of Regulatory changes/ Change in Industry practices in respect to payment of Brokerages. The AMC, its employees or Trustees shall not be responsible for any loss incurred by anyone due to change or errors in the brokerage structure.</p> <p>The rate defined in this structure would be applicable for lump sum as well as SIP&amp; STP investments. All these shall be inclusive of all statutory levies including GST.</p> <p>The brokerage structure mentioned herein is solely payable to AMFI certified &amp; KYD complied distributors. This brokerage structure is applicable only to empanelled distributors of Aditya Birla Sun Life AMC Limited and can be changed / withheld by the AMC at its sole discretion without any prior intimation or notification. The AMC, its employees or Trustees shall not be responsible for any losses incurred by anyone due to change or errors in the brokerage structure.</p> <p>All distributors should abide by the code of conduct and rules/regulations laid down by SEBI &amp; AMFI Or any other regulatory body as the case may be applicable. The AMC will take disciplinary action against any distributor who is found violating these regulations / code of conduct. Distributors shall comply with SEBI circular dated June 26, 2002 on code of conduct &amp; SEBI regulations from time to time, including SEBI circular, dated June 30, 2009 with respect to disclosures to be made by distributors to investors.</p> <p>For switch-out(s) made from any open-ended Scheme to any another Scheme, Exit load as applicable to the respective Switch out scheme will be charged and brokerage to be paid as applicable to the Switch in Scheme. For Investments and Switches into Direct Plan, Brokerage would not be paid.</p> <p>Mutual fund investments are subject to market risks, read all scheme related documents carefully.</p>

# Axis Mutual Fund



Brokerage Structure Effective from January 01, 2025 to March 31, 2025				
Name of the Scheme	Trail Year 1 (%)	Trail Year 2 (%)	Trail Year 3 (%)	Trail Year 4 onwards (%)
Equity Schemes/Hybrid Schemes /ELSS Schemes/FOF				
Axis Bluechip Fund	0.80	0.80	0.80	0.80
Axis Focused 25 Fund	0.90	0.90	0.90	0.90
Axis Flexi Cap Fund	1.05	1.05	1.05	1.05
Axis Multicap Fund	1.05	1.05	1.05	1.05
Axis MidCap Fund	0.85	0.85	0.85	0.85
Axis Small Cap Fund	0.90	0.90	0.90	0.90
Axis Growth Opportunities Fund	1.10	1.10	1.10	1.10
Axis ESG Integration Strategy Fund	1.30	1.30	1.30	1.30
Axis Aggressive Hybrid Fund	1.25	1.25	1.25	1.25
Axis Balanced Advantage Fund	1.10	1.10	1.10	1.10
Axis Children's Fund	1.05	1.05	1.05	1.05
Axis Equity Savings Fund	1.25	1.25	1.25	1.25
Axis Conservative Hybrid Fund	1.25	1.25	1.25	1.25
Axis Multi Asset Allocation Fund	1.35	1.35	1.35	1.35
Axis ELSS Tax Saver Fund	0.75	0.75	0.75	0.75
Axis Business Cycles Fund	1.05	1.05	1.05	1.05
Axis Global Equity Alpha Fund of Fund	1.15	1.15	1.15	1.15
Axis Innovation Fund	1.20	1.20	1.20	1.20
Axis Greater China Equity Fund of Fund	1.00	1.00	1.00	1.00
Axis Global Innovation Fund of Fund	1.15	1.15	1.15	1.15
Axis Equity ETFs FoF	0.60	0.60	0.60	0.60
AXIS Nasdaq 100 Fund of Fund	0.35	0.35	0.35	0.35
Axis Quant Fund	1.35	1.35	1.35	1.35
Axis Value Fund	1.20	1.20	1.20	1.20
Axis India Manufacturing Fund	1.05	1.05	1.05	1.05
Axis Consumption Fund	1.25	1.25	1.25	1.25
Axis Momentum Fund	1.25	1.25	1.25	1.25
Arbitrage Scheme /Index Scheme				
Axis Arbitrage Fund	0.65	0.65	0.65	0.65
Axis Nifty 100 Index Fund	0.65	0.65	0.65	0.65
Axis Nifty 50 Index Fund	0.24	0.24	0.24	0.24
Axis Nifty Next 50 index Fund	0.68	0.68	0.68	0.68
Axis Nifty Smallcap 50 Index Fund	0.68	0.68	0.68	0.68
Axis Nifty Midcap 50 Index Fund	0.68	0.68	0.68	0.68
Axis NIFTY IT Index Fund	0.68	0.68	0.68	0.68
Axis BSE Sensex Index Fund	0.45	0.45	0.45	0.45
Axis Nifty Bank Index Fund	0.70	0.70	0.70	0.70
Axis Nifty 500 Index Fund	0.72	0.72	0.72	0.72
Axis Nifty500 Value 50 Index Fund	0.72	0.72	0.72	0.72

Retirement Savings Scheme				
Axis Retirement Fund - Aggressive Plan	1.15	1.15	1.15	1.15
Axis Retirement Fund - Dynamic Plan	1.50	1.50	1.50	1.50
Axis Retirement Fund - Conservative Plan	1.30	1.30	1.30	1.30
Debt Scheme/Gilt Scheme/Liquid Scheme/Gold Fund				
Axis Gilt Fund	0.25	0.25	0.25	0.25
Axis All Seasons Debt Fund of Funds	0.20	0.20	0.20	0.20
Axis US Treasury Dynamic Bond ETF Fund of Fund	0.05	0.05	0.05	0.05
Axis Nifty AAA Bond Plus SDL Apr 2026 50:50 ETF FOF	0.10	0.10	0.10	0.10
Axis CRISIL IBX 70:30 CPSE Plus SDL April 2025 Index Fund	0.12	0.12	0.12	0.12
Axis CRISIL IBX SDL May 2027 Index Fund	0.12	0.12	0.12	0.12
Axis NIFTY SDL September 2026 Debt Index Fund	0.12	0.12	0.12	0.12
Axis CRISIL IBX 5050 Gilt Plus SDL June 2028 Index Fund	0.20	0.20	0.20	0.20
Axis CRISIL IBX 5050 Gilt Plus SDL September 2027 Index Fund	0.20	0.20	0.20	0.20
Axis CRISIL IBX SDL June 2034 Debt Index Fund	0.24	0.24	0.24	0.24
Axis Crisil IBX AAA NBFC Index June 2027 Fund	0.28	0.28	0.28	0.28
Axis CRISIL-IBX AAA Bond Fin Ser. Sep27 Index Fund	0.08	0.08	0.08	0.08
Axis CRISIL-IBX AAA Bond NBFC-HFC – Jun 2027 Index Fund	0.10	0.10	0.10	0.10
Axis Dynamic Bond Fund	0.20	0.20	0.20	0.20
Axis Strategic Bond Fund	0.60	0.60	0.60	0.60
Axis Credit Risk Fund	0.85	0.85	0.85	0.85
Axis Banking and PSU Debt Fund	0.30	0.30	0.30	0.30
Axis Short Duration Fund	0.50	0.50	0.50	0.50
Axis Corporate Bond Fund	0.55	0.55	0.55	0.55
Axis Treasury Advantage Fund	0.25	0.25	0.25	0.25
Axis Ultra Short Duration Fund	0.70	0.70	0.70	0.70
Axis Long Duration Fund	0.35	0.35	0.35	0.35
Axis Floater Fund	0.30	0.30	0.30	0.30
Axis Liquid Fund	0.10	0.10	0.10	0.10
Axis Overnight Fund	0.02	0.02	0.02	0.02
Axis Money Market Fund	0.12	0.12	0.12	0.12
Axis Gold Fund	0.30	0.30	0.30	0.30
Axis Silver Fund of Fund	0.40	0.40	0.40	0.40

# Bandhan Mutual Fund



## Brokerage Structure of ARN-0022 applicable from 01-Jan-2025 till further notice

Scheme Detail	Amount /Time Slab /Trxn. Type	1 <sup>st</sup> Year Trail	2 <sup>nd</sup> Year Trail	3 <sup>rd</sup> Year Trail	4 <sup>th</sup> Year onwards Trail
<b>Equity Funds</b>					
Bandhan Core Equity Fund	Any Amount	1.25 %	1.25 %	1.25 %	1.25 %
Bandhan ELSS Tax saver Fund	Any Amount	1.00 %	1.00 %	1.00 %	1.00 %
Bandhan Financial Services Fund	Any Amount	1.50 %	1.50 %	1.50 %	1.50 %
Bandhan Flexi Cap Fund	Any Amount	0.90 %	0.90 %	0.90 %	0.90 %
Bandhan Focused Equity Fund	Any Amount	1.00 %	1.00 %	1.00 %	1.00 %
Bandhan Infrastructure Fund	Any Amount	1.30 %	1.30 %	1.30 %	1.30 %
Bandhan Large Cap Fund	Any Amount	1.05 %	1.05 %	1.05 %	1.05 %
Bandhan Mid Cap Fund	Any Amount	1.30 %	1.30 %	1.30 %	1.30 %
Bandhan Multi Cap Fund	Any Amount	1.30 %	1.30 %	1.30 %	1.30 %
Bandhan Small Cap Fund	Any Amount	1.20 %	1.20 %	1.20 %	1.20 %
Bandhan Sterling Value Fund	Any Amount	1.15 %	1.15 %	1.15 %	1.15 %
Bandhan Transportation and Logistics Fund	Any Amount	1.60 %	1.60 %	1.60 %	1.60 %
Bandhan US Equity Fund of Fund	Any Amount	1.00 %	1.00 %	1.00 %	1.00 %
<b>Debt Funds</b>					
Bandhan Banking & PSU Debt Fund	Any Amount	0.30 %	0.30 %	0.30 %	0.30 %
Bandhan Bond Fund - Income Plan	Any Amount	1.00 %	0.75 %	0.75 %	0.75 %
Bandhan Bond Fund - Medium Term Plan	Any Amount	0.85 %	0.85 %	0.85 %	0.85 %
Bandhan Bond Fund - Short Term Plan	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Corporate Bond Fund	Any Amount	0.30 %	0.30 %	0.30 %	0.30 %
Bandhan Credit Risk Fund	Any Amount	0.75 %	0.75 %	0.75 %	0.75 %
Bandhan CRISIL IBX 90:10 SDL Plus Gilt April 2032 Index Fund	Any Amount	0.15 %	0.15 %	0.15 %	0.15 %
Bandhan CRISIL IBX 90:10 SDL Plus Gilt Nov 2026 Index Fund	Any Amount	0.21 %	0.21 %	0.21 %	0.21 %
Bandhan CRISIL IBX 90:10 SDL Plus Gilt Sep 2027 Index Fund	Any Amount	0.21 %	0.21 %	0.21 %	0.21 %
Bandhan CRISIL IBX Gilt April 2026 Index Fund	Any Amount	0.25 %	0.25 %	0.25 %	0.25 %
Bandhan CRISIL IBX Gilt April 2028 Index Fund	Any Amount	0.15 %	0.15 %	0.15 %	0.15 %
Bandhan CRISIL IBX Gilt April 2032 Index Fund	Any Amount	0.20 %	0.20 %	0.20 %	0.20 %
Bandhan CRISIL IBX Gilt June 2027 Index Fund	Any Amount	0.20 %	0.20 %	0.20 %	0.20 %
Bandhan Dynamic Bond Fund	Any Amount	1.00 %	0.90 %	0.90 %	0.90 %
Bandhan Floating Rate Fund	Any Amount	0.35 %	0.35 %	0.35 %	0.35 %
Bandhan Government Securities Fund - Constant Maturity Plan	Any Amount	0.10 %	0.10 %	0.10 %	0.10 %
Bandhan Government Securities Fund - Investment Plan	Any Amount	0.70 %	0.70 %	0.70 %	0.70 %
Bandhan Liquid Fund	Any Amount	0.10 %	0.10 %	0.10 %	0.10 %
Bandhan Long Duration Fund	Any Amount	0.35 %	0.35 %	0.35 %	0.35 %
Bandhan Low Duration Fund	Any Amount	0.15 %	0.15 %	0.15 %	0.15 %
Bandhan Money Manager Fund	Any Amount	0.25 %	0.25 %	0.25 %	0.25 %
Bandhan Overnight Fund	Any Amount	0.06 %	0.06 %	0.06 %	0.06 %
Bandhan Ultra Short Term Fund	Any Amount	0.15 %	0.15 %	0.15 %	0.15 %
Bandhan US Treasury Bond 0-1 year Fund of Fund	Any Amount	0.03 %	0.03 %	0.03 %	0.03 %
<b>Hybrid funds</b>					
Bandhan Arbitrage Fund	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Balanced Advantage Fund	Any Amount	1.20 %	1.20 %	1.20 %	1.20 %
Bandhan Equity Savings Fund	Any Amount	0.60 %	0.60 %	0.60 %	0.60 %
Bandhan Hybrid Equity Fund	Any Amount	1.25 %	1.25 %	1.25 %	1.10 %
Bandhan Conservative Hybrid Fund	Any Amount	0.75 %	0.75 %	0.75 %	0.75 %
Bandhan Retirement Fund	Any Amount	1.50 %	1.50 %	1.50 %	1.50 %
<b>Multi Asset Allocation</b>					
Bandhan Multi Asset Allocation Fund	Any Amount	1.35 %	1.35 %	1.35 %	1.35 %
<b>Thematic Fund</b>					
Bandhan Innovation Fund	Any Amount	1.45 %	1.45 %	1.45 %	1.45 %

Bandhan Business Cycle Fund	Any Amount	1.30 %	1.30 %	1.30 %	1.30 %
<b>Other Funds</b>					
Bandhan All Seasons Bond Fund	Any Amount	0.20 %	0.20 %	0.20 %	0.20 %
Bandhan Asset Allocation Fund - FOF - Aggressive Plan	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Asset Allocation Fund - FOF - Conservative Plan	Any Amount	0.30 %	0.30 %	0.30 %	0.30 %
Bandhan Asset Allocation Fund - FOF - Moderate Plan	Any Amount	0.45 %	0.45 %	0.45 %	0.45 %
Bandhan Nifty 100 Index Fund	Any Amount	0.35 %	0.35 %	0.35 %	0.35 %
Bandhan Nifty 50 Index Fund	Any Amount	0.35 %	0.35 %	0.35 %	0.35 %
Bandhan Nifty Alpha 50 Index Fund	Any Amount	0.60 %	0.60 %	0.60 %	0.60 %
Bandhan Nifty IT Index Fund	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Nifty Smallcap 250 Index Fund	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Nifty100 Low Volatility 30 Index Fund	Any Amount	0.40 %	0.40 %	0.40 %	0.40 %
Bandhan Nifty200 Momentum 30 Index Fund	Any Amount	0.40 %	0.40 %	0.40 %	0.40 %
Bandhan Nifty Total Market Index Fund	Any Amount	0.65 %	0.65 %	0.65 %	0.65 %
Bandhan Nifty Bank Index Fund	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Nifty Midcap 150 Index Fund	Any Amount	0.55 %	0.55 %	0.55 %	0.55 %
Bandhan BSE Healthcare Index Fund	Any Amount	0.50 %	0.50 %	0.50 %	0.50 %
Bandhan Nifty 500 Momentum 50 Index Fund	Any Amount	0.60 %	0.60 %	0.60 %	0.60 %
Bandhan Nifty 500 Value 50 Index Fund	Any Amount	0.60 %	0.60 %	0.60 %	0.60 %
Bandhan Nifty 200 Quality 30 Index Fund	Any Amount	0.60 %	0.60 %	0.60 %	0.60 %

**Terms & Conditions:**

- All distribution commission shall be payable only in form of Trail commission. No upfront commission or Trail paid in advance / Upfronted Trail shall be payable.
- B30 incentive (Trail) is applicable for the assets sourced till 28th Feb'23. The same will be computed till the completion of the first year or the assets get redeemed or switched out from the scheme, whichever is earlier.
- B30 incentive (Trail) is not applicable for the assets (new inflows) sourced from 01st Mar'23.
- The brokerage/incentives would be inclusive of Goods and Service Tax/ Cess and any other applicable tax, if any as per the current regulations
- Any brokerage payout related discrepancies have to be intimated to us within 45 days from the date of release of brokerage.
- All distributors who have correctly updated the GST number with AMFI would be treated as registered distributors under GST and no deduction would be done from their pay-outs, whereas in case of Unregistered distributor or a distributor having registration number but failing to update the details with AMFI would also be treated as unregistered distributor and appropriate procedure as per the Goods and Services Tax Act, would be followed.
- With effect from 1st July 2017, Goods and service Tax (GST) is applicable on distributor brokerage.
- For **normal brokerage**, the distributors will have to raise the invoices favouring Bandhan Mutual Fund and do the GST return filing accordingly.

**Below is the GST number of Bandhan Mutual Fund.**

Name of Entity	Billing address	PAN	GST no
<b>Bandhan Mutual Fund</b>	<b>6th Floor, One World centre, Jupiter Mills Compound, 841, Senapati Bapat Marg, Elphinstone Road, Mumbai, Maharashtra 400013</b>	<b>AAETS9556K</b>	<b>27AAETS9556K1ZP</b>

- Bandhan Asset Management Company Limited (Bandhan AMC) reserves absolute right and authority to change the brokerage structure applicable to existing as well as future assets contributed by the Distributor, at its sole discretion. Any such change in the brokerage structure shall be intimated to the Distributors by telephone/post/email/courier/post/text message or such other medium of communication as may be preferred by Bandhan AMC and the same shall be effective from the date of dispatch of such communication.
- W.e.f. 1st Jan'22, we have shifted to triggered based pay-out mechanism for fresh SIP/STP registrations. For live SIP/STP as on 31st Dec'21, we will continue to follow registration based pay-out mechanism.

**The below table details the Exit Loads applicable and Effective Date:**

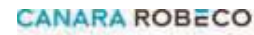
Scheme	Exit Load
<b>Bandhan Core Equity Fund</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Large Cap Fund</b>	If redeemed/switched out within 30 day from the date of allotment 0.5% of applicable NAV; If redeemed/switched out after 30 day from the date of allotment Nil
<b>Bandhan Focused Equity Fund</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Infrastructure Fund</b>	If redeemed/switched out within 30 day from the date of allotment 0.5% of applicable NAV; If redeemed/switched out after 30 day from the date of allotment Nil
<b>Bandhan Sterling Value Fund</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Flexi Cap Fund</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan SmallCap Fund</b>	1% if redeemed / switched out within 12 months
<b>Bandhan ELSS Tax Saver Fund</b>	Nil
<b>Bandhan Floating Rate Fund</b>	Nil

<b>Bandhan Banking &amp; PSU Debt Fund</b>	Nil
<b>Bandhan Liquid Fund</b>	Day 1 - 0.0070%; Day 2 - 0.0065%; Day 3 - 0.0060%; Day 4 - 0.0055%; Day 5 - 0.0050%; Day 6 - 0.0045%; Day 7 onwards - Nil.
<b>Bandhan Money Manager Fund</b>	Nil
<b>Bandhan Low Duration Fund</b>	Nil
<b>Bandhan Corporate Bond Fund</b>	Nil
<b>Bandhan Credit Risk Fund</b>	1% if redeemed /switched out within 365 days
<b>Bandhan Bond Fund - Short Term Plan</b>	Nil, w.e.f. 23rd May 2016
<b>Bandhan Bond Fund - Medium Term Plan</b>	Nil, w.e.f. 23rd May 2016
<b>Bandhan Dynamic Bond Fund</b>	Nil (w.e.f. 15 <sup>th</sup> Jan. 2019)
<b>Bandhan Bond Fund - Income Plan</b>	Upto 10% of investment Nil, For balance investment 1% if redeemed / switched out within 12 months
<b>Bandhan Gov. Securities Fund - Investment Plan</b>	Nil
<b>Bandhan Gov. Securities Fund - Constant Maturity Plan</b>	Nil
<b>Bandhan Ultra Short Term Fund</b>	Nil
<b>Bandhan Overnight Fund</b>	Nil
<b>Bandhan CRISIL IBX Gilt June 2027 Index Fund</b>	Nil
<b>Bandhan CRISIL IBX Gilt April 2028 Index Fund</b>	Nil
<b>Bandhan Hybrid Equity Fund</b>	For 10% of investment -Nil, For balance investment -1% if redeemed / switched out in 12 months
<b>Bandhan Balanced Advantage Fund</b>	Upto 10% of investment Nil, For balance investment 1% if redeemed / switched out within 12 months
<b>Bandhan Conservative Hybrid Fund</b>	For 10% of investment -Nil, For balance investment -1% if redeemed / switched out in 12 months
<b>Bandhan Equity Savings Fund</b>	Upto 10% of investment -Nil, For balance investment -0.25% if redeemed / switched out within 7 Days
<b>Bandhan Arbitrage Fund</b>	If redeemed/switched out on or before 15 days from the date of allotment - 0.25% If redeemed/switched out after 15 days from the date of allotment - NIL
<b>Bandhan Asset Allocation Fund - FOF -Moderate Plan</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Asset Allocation Fund - FOF - Aggressive Plan</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Asset Allocation Fund - FOF -Conservative Plan</b>	Upto 10% of investment -Nil, For balance investment -1% if redeemed / switched out within 12 months
<b>Bandhan Nifty 50 Index Fund</b>	Nil (w.e.f. 04 <sup>th</sup> Feb. 2019)
<b>Bandhan All Seasons Bond Fund</b>	Nil
<b>Bandhan Nifty 100 Index Fund</b>	Nil
<b>Bandhan Mid Cap Fund</b>	if redeemed/switched out within 1 year from trade date of allotment 1% of applicable NAV; If redeemed/switched out after 1 year from trade date of allotment-Nil
<b>Bandhan Nifty200 Momentum 30 Index Fund</b>	Nil
<b>Bandhan Nifty100 Low Volatility 30 Index Fund</b>	Nil
<b>Bandhan Crisil IBX Gilt April 2026 Index Fund</b>	Nil
<b>Bandhan Transportation and Logistics Fund</b>	If redeemed/switched out within 30 day from the date of allotment 0.5% of applicable NAV; If redeemed/switched out after 30 day from the date of allotment Nil
<b>Bandhan CRISIL IBX 90:10 SDL Plus Gilt Nov 2026 Index Fund</b>	Nil
<b>Bandhan CRISIL IBX 90:10 SDL Plus Gilt April 2032 Index Fund</b>	Nil
<b>Bandhan CRISIL IBX 90:10 SDL Plus Gilt Sep 2027 Index Fund</b>	Nil
<b>Bandhan CRISIL IBX Gilt April 2032 Index Fund</b>	Nil
<b>Bandhan Multi Cap Fund</b>	1% if redeemed /switched out within 365 days
<b>Bandhan US Equity Fund of Fund</b>	1% if redeemed / switched out within in 365 days
<b>Bandhan US Treasury Bond 0-1 year Fund of Fund</b>	0.10% of applicable NAV - if the units are redeemed/switched out within seven days from the date of allotment. Nil - if the units are redeemed/switched out after 7 days from the date of allotment.



<b>Bandhan Nifty IT Index Fund</b>	Nil
<b>Bandhan Financial Services Fund</b>	If redeemed/switched out within 30 day from the date of allotment 0.5% of applicable NAV; If redeemed/switched out after 30 day from the date of allotment Nil
<b>Bandhan Retirement Fund</b>	Nil
<b>Bandhan Nifty Alpha 50 Index Fund</b>	Nil
<b>Bandhan Nifty Smallcap 250 Index Fund</b>	Nil
<b>Bandhan Multi Asset Allocation Fund</b>	For 10% of Investment:NIL For remaining investment: 0.50% if redeemed/ switched out within 1 year from the date of allotment. If redeemed/switched out after 1 year from the the date of allotment - NIL
<b>Bandhan Long Duration Fund</b>	Nil (w.e.f.21st Mar 2024)
<b>Bandhan Innovation Fund</b>	If redeemed/switched out within 30 days from the date of allotment: 0.50% of the applicable NAV. If redeemed/switched out after 30 days from date of allotment – Nil
<b>Bandhan Nifty Total Market Index Fund</b>	0.25% if redeemed/switched out on or before 15 Days (w.e.f. 11th Jul 2024)
<b>Bandhan Nifty Bank Index Fund</b>	0.25% - if redeemed on or before 15 days from the allotment date. Nil – if redeemed after 15 days from the allotment date
<b>Bandhan BSE Healthcare Index Fund</b>	0.25% if redeemed/switched out on or before 15 Days (w.e.f. 07th Sep 2024)
<b>Bandhan Nifty Midcap 150 Index Fund</b>	0.25% if redeemed/switched out on or before 15 Days (w.e.f. 20th Sep 2024)
<b>Bandhan Business Cycle Fund</b>	0.50% if redeemed/switched out on or before 30 Days (w.e.f. 01st Oct 2024)
<b>Bandhan Nifty 500 Value 50 Index Fund</b>	0.25% - if redeemed on or before 15 days from the allotment date
<b>Bandhan Nifty 500 Momentum 50 Index Fund</b>	0.25% - if redeemed on or before 15 days from the allotment date

# Canara Robeco Mutual Fund



ARN-0022	Hongkong & Shanghai Banking Corporation Ltd.		
HSBCQN	Hongkong & Shanghai Banking Corporation Ltd.		
LOAD AND BROKERAGE STRUCTURE FOR LUMP SUM & SIP/STP INVESTMENTS (01ST JANUARY 2025 – 31ST MARCH 2025)			
Product	Exit Load Structure NIL load after the stated load period against each product	Trail 1st Year (Day 1 to 365 days) (%)	Trail Year 2 onwards (Trail from 366 Days onwards) (%)
<b>EQUITY FUNDS</b>			
Canara Robeco Balanced Advantage Fund	1% - if redeemed/switched out above 12% of allotted units within 365 days from the date of allotment. Nil - if redeemed/switched out upto 12% of allotted units within 365 days from the date of allotment. Nil - if redeemed/switched out after 365 days from the date of allotment	1.31	1.26
Canara Robeco Value Fund	1.00% - if redeemed/switched out within 365 days from the date of allotment.	1.30	1.25
Canara Robeco Manufacturing Fund	1% - if redeemed/switched out within 365 days from the date of allotment.	1.30	1.25
Canara Robeco Consumer Trends Fund	1.00% if redeemed /switched out within one year from the date of allotment	1.30	1.25
Canara Robeco Infrastructure	1% if redeemed / switched-out within 1 year from the date of allotment.	1.29	1.24
Canara Robeco Focused Equity Fund	1.00% - if redeemed/switched out within 365 days from the date of allotment.	1.19	1.14
Canara Robeco Multi Cap Fund	1% - if redeemed/switched out within 365 days from the date of allotment.	1.18	1.13
Canara Robeco Mid Cap Fund	1.00% - if redeemed/switched out within 365 days from the date of allotment.	1.17	1.12
Canara Robeco Equity Hybrid Fund	For any redemption / switch out more than 10% of units within 1 Year from the date of allotment - 1%. For any redemption / switch out upto 10% of units within 1 Year from the date of allotment - Nil.	1.08	1.03
Canara Robeco Flexi Cap Fund	1.00% if redeemed /switched out within one year from the date of allotment	1.08	1.03
Canara Robeco Blue Chip Equity Fund	1% if redeemed / switched-out within 1 year from the date of allotment.	1.07	1.02
Canara Robeco ELSS Tax Saver	3 year lock in	1.07	1.02
Canara Robeco Small Cap Fund	1.00% if redeemed / switched-out within 1 year from the date of allotment.	1.06	1.01
Canara Robeco Emerging Equities	1% if redeemed / switched-out within 1 year from the date of allotment.	1.00	0.95
<b>DEBT FUNDS</b>			
Canara Robeco Income Fund	Nil	1.31	1.26
Canara Robeco Dynamic Bond Fund	Nil	1.21	1.16
Canara Robeco Conservative Hybrid Fund	For any redemption / switch out more than 10% of units within 1 Year from the date of allotment - 1%. For any redemption / switch out upto 10% of units within 1 Year from the date of allotment - Nil.	1.14	1.09
Canara Robeco Gilt Fund	Nil	0.88	0.83
Canara Robeco Short Duration Fund	Nil	0.75	0.75
Canara Robeco Corporate Bond Fund	Nil	0.75	0.75
Canara Robeco Banking and PSU Debt Fund	Nil	0.35	0.35
Canara Robeco Savings Fund	Nil	0.30	0.30
<b>MONEY MARKET FUNDS</b>			
Canara Robeco Ultra Short Term Fund	Nil	0.55	0.55
Canara Robeco Liquid Fund	If redeemed on Day 1: 0.0070%, Day 2: 0.0065%, Day 3: 0.0060%, Day 4: 0.0055%, Day 5: 0.0050%, Day 6: 0.0045%, on or after Day 7: Exit Load - NIL	0.11	0.11
Canara Robeco Overnight Fund	Nil	0.01	0.01
<b>Subject to changes in exit load to be effective prospectively</b>			
Brokerage Structure is inclusive of all Taxes.			
Brokerage payable on Switches: For switch out from one Equity / Equity Hybrid Fund / Debt Schemes to another Equity / Equity Hybrid Fund / Debt Schemes, applicable exit load shall be charged and hence the applicable brokerage rates are payable in switch in schemes.			
SIP/STP Brokerage: The brokerage structure given for SIP/STP transaction is as per SIP/STP Trade Date			

## Terms and Conditions

### 1. General:

- The Brokerage Structure set out in the table above is applicable till the specified time period or until the AUM of a scheme crosses the Total Expense Ratio (TER) threshold prescribed by SEBI. CRAMC reserves the right to revise the commission rates on existing assets as well as fresh inflows in accordance with TER levels prescribed by SEBI from time to time.
- Brokerage payment will be made through Direct Credit/NEFT only. Distributors whose complete bank mandates are NOT available are required to furnish the bank mandate along with a cancelled cheque or a copy of cheque at the earliest for Direct Credit / NEFT transfer of brokerages. We encourage you to opt for Direct Credit/NEFT mode of payment so that you receive your brokerage faster & more efficiently. If correct or complete bank details are not furnished, brokerage payout will be released annually in the month of March (close of financial year) every year and the threshold limit will be Rs.50/- for payment in Physical Mode (Pay order/DD) annually. Brokerage Statements will be sent by email only.
- Brokerage Structure is inclusive of all Taxes.
- The Brokerage Structure mentioned herein is payable only to AMFI certified distributors and those Empaneled with Canara Robeco Mutual Fund ("CRMF").
- The annualized (trail) brokerage will be computed on the outstanding Average AUM and paid monthly.
- CRMF reserves the right to change, withdraw and / or amend, the terms and conditions stated herein.
- CRMF also reserves the right to change the brokerage structure at any time. The modified structure will be applicable for future mobilizations from the effective date, which will be communicated to you.
- The Brokerage Structure mentioned above is subject to prevailing regulations and guidelines.
- CRMF reserves the right to withhold commission in the event of breach of any of the terms and conditions contained herein/Empanelment Form or non-compliance of SEBI Regulations/ AMFI Guidelines.
- In case of switch out from one Scheme to another, exit load as applicable to the Switch-out Scheme will be charged, while the brokerage is payable as per the Switch-in scheme". Please refer to the latest applicable Exit load and the Scheme related documents of the respective schemes.
- SIP/STP Brokerage: The brokerage structure given for SIP/STP transaction is as per SIP/STP Trade Date.
- Static distributor details like Change of Address/Self Declaration/Renewal/Contact details must be submitted by the Distributor/s directly to AMFI Unit of CAMS or CAMS Service Centre in compliance of the rules laid down by AMFI (as per "Central Distributor Services" which became operational w.e.f Jan 15, 2013). These static details or any changes thereto shall not be sent to individual CRAMCs/R&TAs. However, Bank details may be required to be updated separately with each AMC for payment of commission.
- In respect of Change of Broker Code requests given by investors, as per AMFI circular no.112/2023-24 dated 5-Mar-2024, brokerage commission is payable to new distributor as per the existing brokerage rate applicable to old distributor or as per the brokerage rate applicable to new distributor on the date of change of distributor code whichever is LOWER. However, payment of trail commission to the new/transferee distributor will be made after a cooling off period of six months i.e., 181st day from the date of change of distributor code in the folio/database. During six months/180 days period from the date of change of distributor code, brokerage is not payable

### Note:

Distributors are advised to check the amount of brokerage paid and if any discrepancy or error in computation/payment is observed, the same shall be notified to RTA/AMC within 30 days from the date of remittance/payment, failing which, it shall be deemed that the payment made by RTA/AMC is correct.

### 2. B-30:


- As per the advice from SEBI/AMFI, B30 incentive structure is kept in abeyance with effect from March 01, 2023, until further notice.

### 3. SEBI/AMFI Regulations and Guidelines:

- The above-mentioned brokerage structure is subject to applicable SEBI regulations/ AMFI Circulars as amended from time to time.
- The above-mentioned brokerage structure is subject to any amendments/modifications as the AMC may carry out at its sole discretion in response to any regulatory/statutory changes in this regard.
- In terms of SEBI/AMFI circulars/guidelines, the Distributors shall adhere to all applicable regulations/guidelines relating to, but not limited to:
  - a. KYC norms including requisite documentation for account opening and to carry out further transactions.
  - b. Know Your Distributor (KYD) norms for Mutual Fund Distributors, which are applicable for fresh ARN registrations and ARN renewals. The existing ARN holders are required to comply with these norms by March 31, 2011, failing which AMCs have been mandated to suspend payment of commission till the distributors comply with the requirements. All the Distributors / Advisors are encouraged to complete the KYD requirements at the earliest. The KYD Forms and Process Note are available on AMFI website: [www.amfiindia.com](http://www.amfiindia.com).
  - c. Code of Conduct and other guidelines issued by AMFI from time to time.
  - d. Advertisement guidelines issued by SEBI from time to time. The Distributor shall not make representations/ statements concerning the units of the schemes other than what is contained in the current SID(s), Key Information Memorandum and printed information issued by CRMF/ CRAMC as information supplemental to such documents. The Distributor shall only use such advertising / sales material for distributing / selling activities as provided and approved by CRAMC. Distributors shall not indulge in any kind of malpractice or unethical practice to sell, market or induce any investor to buy Canara Robeco Mutual Fund units which may directly / indirectly impact CRMF / CRAMC in any manner.
- The AMC reserves the right to reject any application for investment in case the Distributor/applicant fails to submit information and/or documentation as mentioned above.
- The terms and conditions set out hereinabove shall be read in conjunction with the terms and conditions contained in the Empanelment Form/Distribution Agreement.

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# DSP Investment Managers

DSP Mutual Fund			
Brokerage Period:	From	To	
1st Jan 2025		31st Dec 2025	
Transaction Types:	Lump Sum Purchases; Switch Ins, SIP/STP		
Type of Brokerage		Trail Brokerage	
Location	Year 1	Year 2	Year 3 & Onwards
Transaction Limit (Rs)			
Hybrid			
DSP Aggressive Hybrid Fund	1.05%	1.05%	1.05%
DSP Equity Savings Fund	0.80%	0.80%	0.80%
DSP Regular Savings Fund	0.70%	0.70%	0.70%
DSP Dynamic Asset Allocation Fund	1.25%	1.25%	1.25%
DSP Multi Asset Allocation Fund	1.05%	1.05%	1.05%
Equity			
DSP Equity Opportunities Fund	1.00%	1.00%	1.00%
DSP Focus Fund	1.10%	1.10%	1.10%
DSP Flexi Cap Fund	1.15%	1.15%	1.15%
DSP Top 100 Equity Fund	1.10%	1.10%	1.10%
DSP India TIGER Fund	1.15%	1.15%	1.15%
DSP Mid Cap Fund	1.00%	1.00%	1.00%
DSP Small Cap Fund	1.00%	1.00%	1.00%
DSP NRNE Fund	1.20%	1.20%	1.20%
DSP Healthcare Fund	1.25%	1.25%	1.25%
DSP Quant Fund	0.75%	0.75%	0.75%
DSP Value Fund	0.75%	0.75%	0.75%
DSP Multi Cap Fund	1.25%	1.25%	1.25%
DSP Banking & Financial Services Fund	1.40%	1.40%	1.40%
DSP Business Cycle Fund	1.35%	1.35%	1.35%
Index Fund			
DSP Nifty 50 Equal Weight Index Fund	0.55%	0.55%	0.55%
DSP Nifty 50 Index Fund	0.25%	0.25%	0.25%
DSP Nifty Next 50 Index Fund	0.45%	0.45%	0.45%
DSP Nifty Midcap 150 Quality 50 Index Fund	0.65%	0.65%	0.65%
DSP Nifty Smallcap250 Quality 50 Index Fund	0.70%	0.70%	0.70%
DSP Nifty SDL GSEC 2027	0.15%	0.15%	0.15%
DSP Nifty SDL GSEC 2028	0.10%	0.10%	0.10%
DSP Crisil SDL GSEC 2033	0.20%	0.20%	0.20%
DSP Nifty Bank Index Fund	0.65%	0.65%	0.65%
DSP Nifty Top 10 Equal Weight Index Fund	0.65%	0.65%	0.65%
ELSS (Section 80 C)			
DSP ELSS Tax Saver Fund	0.95%	0.95%	0.95%
Fund Of Fund			
DSP Global Allocation Fund of Fund	0.50%	0.50%	0.50%
DSP Global Innovation Fund of Fund	0.80%	0.80%	0.80%
DSP US Flexible Equity Fund of Fund	0.90%	0.90%	0.90%
DSP World Gold Fund of Fund	0.75%	0.75%	0.75%
DSP World Mining Fund of Fund	0.70%	0.70%	0.70%
DSP Global Clean Energy Fund of Fund	0.70%	0.70%	0.70%
DSP Gold ETF Fund of Fund	0.35%	0.35%	0.35%
DSP US Treasury Fund of Fund	0.05%	0.05%	0.05%
Arbitrage Fund			
DSP Arbitrage Fund	0.55%	0.55%	0.55%
Fixed Income Schemes			
DSP Bond Fund	0.40%	0.40%	0.40%
DSP Credit Risk Fund	0.70%	0.70%	0.70%
DSP Banking and PSU Debt Fund	0.25%	0.25%	0.25%
DSP Short Term Fund	0.65%	0.65%	0.65%
DSP Strategic Bond Fund	0.65%	0.65%	0.65%
DSP Gilt Fund	0.50%	0.50%	0.50%
DSP 10Y G-Sec Fund	0.20%	0.20%	0.20%
DSP Ultra Short Fund	0.70%	0.70%	0.70%
DSP Low Duration Fund	0.25%	0.25%	0.25%
DSP Savings fund	0.20%	0.20%	0.20%
DSP Floater Fund	0.25%	0.25%	0.25%
DSP Corporate Bond Fund	0.25%	0.25%	0.25%
DSP Liquidity Fund	0.05%	0.05%	0.05%
DSP Overnight Fund	0.05%	0.05%	0.05%

## Brokerage Notes

1) Brokerage rates mentioned above are applicable for all the purchases made from 1st Jan 2025 to 31st Dec 2025

2) Trail Brokerage: The trail brokerage is calculated on the basis of 'Daily Average Assets' on the NAV. This is paid in arrears at the end of each month (unless specified otherwise).

3) Switches: Inter scheme switches will be treated as a normal purchase. Trail Brokerage will be paid on switches made between schemes (and not plans within the same scheme), which will be treated like a normal purchase as mentioned above.

4) Systematic Investment Plan (SIP)/ Systematic Transfer Plan (STP): In case of SIP / STP instalment brokerage rate prevalent at the time of trigger of instalment is applied and not the date of registration.

5) The Brokerage structure communicated for schemes of DSP Mutual Fund (DSPMF) from time-to-time is on an all-inclusive basis (cost to DSPMF / DSPAM ), i.e. inclusive of all cess, charges, taxes etc. that may be incurred by DSP Investment Managers Pvt. Ltd. (DSPAM) and / or DSP Mutual Fund (DSPMF). Further, the Brokerage payable would be subject to all the statutory deductions, including income tax, etc.

6) The proposed Brokerage structure is applicable for regular plan only under valid ARN codes. No Brokerage/Commission (Trail, Special Brokerage incentive) will be payable on Direct Plans and transactions with Invalid ARN codes or if the ARNs is suspended / debarred or if the validity of ARN has expired.

7) The brokerage rates for assets mobilized during the current period in all DSP open ended equity, hybrid and ELSS category schemes are expected to remain constant till the time such assets are redeemed, except in following cases when it will go down: (a) If limits on Total Expense Ratio (TER) goes down. (b) Increase in scheme operating expenses, including GST or similar taxes.

8) In case of any regulatory change or management decision with respect to reduction in TER or reduction of TER in FOF due to reduction in the TER of the underlying funds, the brokerage structure will be revised downwards from the date of such change. DSPAM and DSPMF reserves the right to change/withhold the rates at its sole discretion without any prior intimation or in case of any regulatory changes/changes in industry practice with respect to payment of brokerages.

9) Brokerage payment (all sort) will be made by the respective schemes of DSPMF. The clawback amount can be adjusted against any commission payments to the distributors, whether paid/incurred by DSPMF or DSPAM.

10) The Brokerage /Incentive structure mentioned hereinabove is solely payable to AMFI/NISM certified distributors of DSPAM. DSPAM and DSPMF shall not be responsible for any losses incurred by anyone due to change in the Brokerage structure. All distributors shall abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. DSPAM and DSPMF will take disciplinary action against any distributor who is found violating these regulations/code of conduct.

# Edelweiss Mutual Fund

For Edelweiss Asset Management Limited  
Empanelled Distributors only

Date: 01-Oct-2024

Structure ref: HSBC

Dear Partner,

It gives us immense pleasure to share with you the brokerage structure for the quarter 01<sup>st</sup> Oct -2024 to 31<sup>st</sup> Mar-2025. We look forward to your support and continued patronage of our funds.

Load and Brokerage Structure for 01-Oct-2024 to 31-Mar-2025					
Scheme Name	Exit Load	Trail Year 1	Trail Year 2	Trail Year 3 Onwards	
Equity Funds - Long Only					
Edelweiss Flexi Cap Fund	Exit load of 1% if redeemed within 90 Days	1.15%	1.15%	1.15%	
Edelweiss Large & Mid Cap Fund	Exit load of 1% if redeemed within 90 Days	1.15%	1.15%	1.15%	
Edelweiss Large Cap Fund	Exit load of 1% if redeemed within 90 Days	1.30%	1.30%	1.30%	
Edelweiss ELSS Tax saver Fund	Nil, Subject to 3 Years Lock-in	1.50%	1.50%	1.50%	
Edelweiss Mid Cap Fund	Exit load of 1% if redeemed within 90 Days	1.05%	1.05%	1.05%	
Edelweiss Recently Listed IPO Fund	Exit load of 2% if redeemed within 6 months	1.50%	1.50%	1.50%	
Edelweiss Small Cap Fund	Exit load of 1% if redeemed within 90 Days	1.10%	1.10%	1.10%	
Edelweiss Focused Equity Fund	Exit load of 1% if redeemed within 90 Days	1.50%	1.50%	1.50%	
Edelweiss Multi cap Fund	Exit load of 1% if redeemed within 90 Days	1.15%	1.15%	1.15%	
Edelweiss Technology Fund	Exit load of 1% if redeemed within 90 Days	1.30%	1.30%	1.30%	
Edelweiss Business Cycle Fund	Exit load of 1% if redeemed within 90 Days	1.15%	1.15%	1.15%	
Equity Funds – Index					
Edelweiss NIFTY Large Mid Cap 250 Index Fund	Nil	0.60%	0.60%	0.60%	
Edelweiss Nifty 50 Index Fund	Nil	0.35%	0.35%	0.35%	
Edelweiss Nifty 100 Quality 30 Index Fund	Nil	0.50%	0.50%	0.50%	
Edelweiss MSCI India Domestic & World Healthcare 45 Index Fund	Nil	0.55%	0.55%	0.55%	
Edelweiss Gold and Silver ETF Fund of Fund	Exit load of 0.10% if redeemed within 15 days, thereafter Nil	0.35%	0.35%	0.35%	
Edelweiss Nifty Midcap150 Momentum 50 Index Fund	Nil	0.60%	0.60%	0.60%	
Edelweiss Nifty Next 50 Index Fund	Nil	0.60%	0.60%	0.60%	
Edelweiss Nifty Small cap 250 Index Fund	Nil	0.60%	0.60%	0.60%	
Edelweiss Nifty Alpha Low Volatility 30 Index Fund	Exit load of 0.10% if redeemed/switched out within 15 days, thereafter Nil	0.60%	0.60%	0.60%	
Hybrid Funds					
Edelweiss Balance Advantage Fund	Nil for 10% of Units Allotted, For remaining units 1% on or before 90 days, thereafter nil.	1.10%	1.10%	1.10%	
Edelweiss Equity Savings Fund	Exit load of 0.25% if redeemed within 30 days, thereafter Nil	0.90%	0.90%	0.90%	
Edelweiss Aggressive Hybrid Fund	Nil for 10% of Units Allotted, For remaining units 1% on or before 90 days, thereafter nil.	1.25%	1.25%	1.25%	
Hybrid – Low Volatility Funds					
Edelweiss Arbitrage Fund	Exit load of 0.10%, if redeemed within 30 days	0.60%	0.60%	0.60%	
Edelweiss Multi Asset Allocation Fund	Exit load of 0.10% if redeemed/switched out within 30 Days, thereafter nil	0.25%	0.25%	0.25%	
Fixed Income Funds					
Edelweiss Money Market Fund	Nil	0.65%	0.65%	0.65%	
Edelweiss Government Securities Fund	Nil	0.65%	0.65%	0.65%	
Edelweiss Banking and PSU Debt Fund	Nil	0.35%	0.35%	0.35%	
Edelweiss Liquid Fund	If redeemed within- 0.0070% 1day, 0.0065% - 2days - 0.0060%,3days - 0.0055%, 4days -0.0050% - 5 days, 0.0045% - 6 days	0.05%	0.05%	0.05%	
Edelweiss Overnight Fund	Nil	0.05%	0.05%	0.05%	
Fixed Income Funds – Index					
Edelweiss CRISIL PSU Plus SDL 50:50 Oct 2025 Index Fund	Exit load of 0.10% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss NIFTY PSU Bond Plus SDL Apr – 2026 50:50 Index Fund	Exit load of 0.10% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss NIFTY PSU Bond Plus SDL Apr – 2027 50:50 Index Fund	Exit load of 0.15% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss CRISIL IBX 50:50 Gilt Plus SDL June 2027 Index Fund	Exit load of 0.10% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss CRISIL IBX 50:50 Gilt Plus SDL Sep 2028 Index Fund	Exit load of 0.10% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss CRISIL IBX 50:50 Gilt Plus SDL April 2037 Index Fund	Exit load of 0.10% if redeemed within 30 days	0.15%	0.15%	0.15%	
Edelweiss CRISIL IBX 50:50 Gilt Plus SDL Short Duration Index Fund	Nil	0.35%	0.35%	0.35%	
International Fund of Funds					
Edelweiss ASEAN Equity Off-shore Fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	
Edelweiss Europe Dynamic Equity Off-shore Fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	
Edelweiss Emerging Markets Opportunities Equity Off-shore Fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	
Edelweiss Greater China Equity Off-shore Fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	
Edelweiss US Value Equity Offshore fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	
Edelweiss US Technology Equity Fund of Fund	Exit load of 1% if redeemed within 90 Days	0.80%	0.80%	0.80%	

Looking forward to a long term mutually beneficial relationship with you.

Thanking You



Deepak Jain – Head Sales

for Terms and conditions please refer page 2

**Terms and Conditions:**

1. The brokerage/commission/remuneration indicated above is on a gross basis, and inclusive of applicable GST and other statutory levies, from time to time.
  - a) Distributor has to registered GSTIN number on Karvyms website.
2.
  - a) All commission shall be paid as Trail only and on monthly basis.
  - b) Exit Loads mentioned here are subject to change
3. Edelweiss Asset Management Limited reserves the right to change the brokerage structure without any prior intimation.
4. Please read the SAI, SID & Addendum of respective schemes carefully to confirm scheme details.
5. The brokerage / commission / remuneration/incentive structure is subject to the terms and conditions mentioned in the Distributor's Agreement and / or the Empanelment Form, as may be amended from time to time including any regulatory modifications thereof.
6. Distributor will disclose to the Investor that no entry load will be charged for Purchase / additional purchase / switch-in transactions, registration under systematic Investment Plans / Systematics Transfer Plans of Edelweiss Mutual Fund.
7. Distributor shall disclose to the Investor(s) all the brokerage / commission / remuneration / incentive (in the form of trail commission or any other mode) for the different competing Schemes from amongst which the Scheme of Edelweiss Mutual Fund is recommended to the Investor(s).
8. Decision of AMC pertaining to brokerage calculation and other matters pertaining thereto shall be final & binding.
9. On change of distributor (ARN Code) and on transfer of AUM from one distributor (ARN Code) to another distributor (ARN Code), the trail commission in respect of transferred assets will not be paid to Old distributor (ARN Holder) as well as new distributor (ARN Holder).

**Note: As per the Know Your Distributor (KYD) norms introduced by AMFI, brokerage / commission will be paid only to such distributors who are KYD compliant Payment of brokerage /commission will be withheld if any distributor does not comply with the KYD requirement and the same will be released only after the distributor complies with the KYD requirement.**

Please visit our website [www.edelweissmf.com](http://www.edelweissmf.com) or AMFI's website [www.amfindia.com](http://www.amfindia.com) for further details.

# Franklin Templeton



### Distribution Remuneration Structure

The Commission rates mentioned below are for the business to be mobilized during the period 01-Jan-2025 to 31-Jan-2025

Fund Type/Fund Name/Plan	Category	Exit Load	Trail Applicable for T-30 & B-30	Additional Trail for B-30 p.a (applicable only for year 1)	Total T30 payout In Year 1	Total B30 payout In Year 1
			Day 1 onwards (p.a.)			(D+E)
"A"	"B"	"C"	"D"	"E"	"F"	"G"
A - EQUITY						
1) TEMPLETON INDIA VALUE FUND (TMV)	VALUE FUND	1.00%within 1 years of allotment	1.10	0.00	1.10	1.10
2) FRANKLIN INDIA TECHNOLOGY FUND (FITF)	THEMATIC-TECHNOLOGY FUND	1.00%within 1 years of allotment	1.08	0.00	1.08	1.08
3) FRANKLIN INDIA OPPORTUNITIES FUND (FIOF)	THEMATIC-SPECIAL SITUATIONS FUND	1.00%within 1 years of allotment	0.99	0.00	0.99	0.99
4) FRANKLIN ASIAN EQUITY FUND (FAEF)	THEMATIC-ASIAN EQUITY FUND	1.00%within 1 years of allotment	1.29	0.00	1.29	1.29
5) TEMPLETON INDIA EQUITY INCOME FUND (TIEF)	DIVIDEND YIELD FUND	1.00%within 1 years of allotment	1.07	0.00	1.07	1.07
6) FRANKLIN BUILD INDIA FUND (BBF)	THEMATIC-INFRASTRUCTURE FUND	1.00%within 1 years of allotment	1.06	0.00	1.06	1.06
7) FRANKLIN INDIA EQUITY ADVANTAGE FUND (FIEAF)	LARGE AND MID-CAP FUND	1.00%within 1 years of allotment	1.03	0.00	1.03	1.03
8) FRANKLIN INDIA PRIMA FUND (FIPF)	MID CAP	1.00%within 1 years of allotment	0.92	0.00	0.92	0.92
9) FRANKLIN INDIA BLUECHIP FUND (FIBCF)	LARGE-CAP FUND	1.00%within 1 years of allotment	0.96	0.00	0.96	0.96
10) FRANKLIN INDIA SMALLER COMPANIES FUND (FISCF)	SMALL-CAP FUND	1.00%within 1 years of allotment	0.91	0.00	0.91	0.91
11) FRANKLIN INDIA FOCUSED EQUITY FUND (FIFE)	FOCUSED FUND	1.00%within 1 years of allotment	0.92	0.00	0.92	0.92
12) FRANKLIN INDIA FLEXICAP FUND (FIFCF)	FLEXI-CAP FUND	1.00%within 1 years of allotment	0.89	0.00	0.89	0.89
13) FRANKLIN INDIA INDEX FUND/SE NIFTY PLAN (FINF)	INDEX/NIFTY	0.25%if the Units are redeemed/switched out within 7 days of allotment	0.31	0.00	0.31	0.31
14) FRANKLIN INDIA MULTI-CAP FUND (FIMCF)	MULTI-CAP FUND	1.00%within 1 years of allotment	1.00	0.00	1.00	1.00
B - SECTION 80C FUNDS						
1) FRANKLIN INDIA ELSS TAX SAVER FUND (FIT)	ELSS FUND	NIL	0.93	0.00	0.93	0.93
2) FRANKLIN INDIA PENSION PLAN (FIPF)	RETIREMENT FUND	3.00%if redeemed before age of 58 years, (subject to lock in period & target amount) Nil after age of 58 years	1.18	0.00	1.18	1.18
C - HYBRID FUNDS						
1) FRANKLIN INDIA ARBITRAGE FUND (FIWF)	ARBITRAGE FUND	0.25%if the Units are redeemed/switched out within 30 days of allotment	0.55	0.00	0.55	0.55
2) FRANKLIN INDIA DEBT HYBRID FUND (FIDHF)*	CONSERVATIVE HYBRID FUND	NIL	0.74	0.00	0.74	0.74
3) FRANKLIN INDIA EQUITY HYBRID FUND (FIEHF)	AGGRESSIVE HYBRID FUND	Upto 10%of the Units - NIL, within 1 year from the date of allotment. More than the above limit, 1.00%within 1 year from the date of allotment. Nil after 1 year from the date of allotment	1.12	0.00	1.12	1.12
4) FRANKLIN INDIA EQUITY SAVINGS FUND (FIESF)*	EQUITY SAVINGS FUND	NIL	0.60	0.00	0.60	0.60
5) FRANKLIN INDIA BALANCED ADVANTAGE FUND (FBAF)	DYNAMIC ASSET ALLOCATION OR BALANCED ADVANTAGE	Upto 10%of the Units - NIL, within 1 year from the date of allotment. More than the above limit - 1.00%within 1 year from the date of allotment. Nil after 1 year from the date of allotment	1.20	0.00	1.20	1.20
D - FIXED INCOME FUNDS						
1) FRANKLIN INDIA LONG DURATION FUND (FILDR)	LONG DURATION FUND	NIL	0.47	0.00	0.47	0.47
2) FRANKLIN INDIA MEDIUM TO LONG DURATION FUND (FIMLOF)	MEDIUM AND LONG DURATION FUND	NIL	0.47	0.00	0.47	0.47
3) FRANKLIN INDIA GOVERNMENT SECURITIES FUND (FIGSF)	GILT FUND	NIL	0.60	0.00	0.60	0.60
4) FRANKLIN INDIA FLOATING RATE FUND (FIFRF)	FLOATER FUND	NIL	0.51	0.00	0.51	0.51
5) FRANKLIN INDIA CORPORATE DEBT FUND (FICDF)	CORPORATE BOND FUND	NIL	0.42	0.00	0.42	0.42
6) FRANKLIN INDIA BANKING AND PSU DEBT FUND (FIBPDF)	BANKING & PSU FUND	NIL	0.26	0.00	0.26	0.26
7) FRANKLIN INDIA MONEY MARKET FUND (FISPF)	MONEY MARKET FUND	NIL	0.14	0.00	0.14	0.14
8) FRANKLIN INDIA OVERNIGHT FUND (FIONF)	OVERNIGHT FUND	NIL	0.05	0.00	0.05	0.05
9) FRANKLIN INDIA ULTRA SHORT DURATION FUND (FUSDF)	ULTRA SHORT DURATION FUND	NIL	0.41	0.00	0.41	0.41
10) FRANKLIN INDIA LIQUID FUND (FILF)	LIQUID FUND	Redemption after allotment: Day 1 - 0.0070%;Day 2 - 0.0065%;Day 3 - 0.0060%;Day 4 - 0.0055%;Day 5 - 0.0050%;Day 6 - 0.0045%;Day 7 onwards - NIL	0.08	0.00	0.08	0.08
E - INTERNATIONAL FUNDS						
1) FRANKLIN INDIA FEEDER - FRANKLIN U.S OPPORTUNITIES FUND (FUSOF)	FOF-OVERSEAS-US	1.00%within 1 years of allotment	1.15	0.00	1.15	1.15
2) FRANKLIN INDIA FEEDER - FRANKLIN EUROPEAN GROWTH FUND (FEGF)	FOF-OVERSEAS-EUROPE	1.00%within 1 years of allotment	1.00	0.00	1.00	1.00
F - FUND OF FUNDS						
1) FRANKLIN INDIA MULTI - ASSET SOLUTION FUND (FIAS)	FOF-DOMESTIC	1.00%within 3 years of allotment	0.85	0.00	0.85	0.85
2) FRANKLIN INDIA DYNAMIC ASSET ALLOCATION FUND OF FUNDS (FIADAF)	FOF-DOMESTIC	Upto 10%of the Units - NIL, within 1 year from the date of allotment. More than the above limit, 1.00%within 1 year from the date of allotment. Nil after 1 year from the date of allotment	0.95	0.00	0.95	0.95

\*The exit load in respect of each purchase of Units of Franklin India Debt Hybrid Fund and Franklin India Equity Savings Fund is applicable effective October 11, 2021. All prior investments continue to be subject to the load structure applicable at the time of the respective investment.

Applicable ARN Codes and their Distributor Names :

ARN Code	Distributor Name
1. HSBCCN	HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED
2. HSBCCIS	HONGKONG AND SHANGHAI BANKING CORPORATION
3. HSBCCMEB	Hongkong And Shanghai Banking Corp
4. ARN-0022	Hongkong And Shanghai Banking Corp



<b>Note:-</b>
<b>1.</b> Any distribution of Mutual Fund units of Franklin Templeton Mutual Fund (FTMF) by distributors empaneled with FTMF/Franklin Templeton Asset Management (India) Pvt. Ltd (FTAMIL or AMC) ("Distributor/s") is on voluntary basis and by distributing the units, the Distributor records its informed consent to comply with all the terms and conditions mentioned in this document as well as such other documents including empanelment form, code of conduct and various guidelines issued by SEBI and AMFI from time to time which is applicable to distributors of mutual funds in connection with the distribution services provided to FTMF/FTAMIL.
<b>2.</b> FTAMIL reserves absolute right and authority to change the Distribution Remuneration Structure applicable to existing as well as future assets contributed by the Distributor under their respective ARN, at its sole discretion. Any such change in the Distribution Remuneration Structure will be intimated to the Distributor by telephone/email/post/courier /text messages or such other medium of communication as may be preferred by FTAMIL.
<b>3.</b> The computation of commission by FTMF's Registrar and Transfer Agent will be considered to be final.
<b>Terms &amp; Conditions:-</b>
<b>Effective from October 22, 2018, FTMF has adopted, the model of commission, as directed by SEBI through circular nos. SEBI/HO/IMD/DF2/CIR/P/2018/137 as amended vide SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019.</b>
<b>A. Applicability of T-30 and B-30 Cities for payment of Commission:</b> <b>1.</b> This Distribution Remuneration Structure is applicable for lump sum & SIP investments. <b>2.</b> The T-30 and B-30 cities will be as per the PIN code list of cities provided by AMFI and as per AMFI's Standard Procedure for tagging transactions to T- 30 cities / B- 30 cities. This list shall be subject to the periodic announcements made by AMFI in this regard. <b>3.</b> Investments by Non – Residential Indians (NRI) & other eligible Foreign investors will be considered as T – 30 business. <b>4.</b> Effective from October 22, 2018, additional payout for B30 business, will be paid on a monthly basis, as trail commission, based on inflows from retail investors from B 30 cities. As directed by SEBI through <b>circular no. SEBI/HO/IMD/DF2/CIR/P/2019/42 dated March 25, 2019</b> , inflows of amount up to INR 2,00,000 per transaction by individual investors shall be considered as inflows including switch-ins from 'retail Investors'. Investment by corporates and institutions from B 30 cities will not be considered for additional payout for B30 business. <b>5.</b> For inter scheme switch transactions (between equity funds), B30 commission is considered from the switch transaction date, if applicable.
<b>B. Statutory / AMFI Driven Regulations:</b> <b>1.</b> The rate of Commission mentioned in this document is inclusive of Goods and Service Tax (GST) at applicable rates, if any. As per the GST law effective in India from 1st July 2017, Distributors whose turnover in a financial year exceeds the prescribed threshold limit, are liable to register themselves and charge GST at the rates applicable. Every distributor providing taxable supply (of goods and / or services) must issue a tax invoice, containing all the details prescribed under the GST law. Where the distributor fails to ensure prescribed compliance appropriately/timely under the GST Law or in case where his rating goes below the prescribed limit or fails to raise a tax invoice, GST might be recovered from the amount paid to the distributor along with any penalty/ interest on account of such failure. For further clarification on applicability of GST, please consult your tax advisor. <b>2.</b> In case any assets under your ARN Code are transferred to another Distributor at the request of the Investor, you shall not be entitled to receive any trail commission on such assets. Further, the payments of trail commission on assets that are transferred from another Distributor to your ARN Code shall be subject to us receiving a "Clearance Certificate" from the previous Distributor. Please contact your Franklin Templeton Relationship Manager for further details. <b>3.</b> In case you do not change your name as may be required pursuant to amendments to SEBI (Investment Advisers) Regulations, 2013, your commission will be withheld as per directions issued by AMFI from time to time. <b>4.</b> The Distributor shall adhere to all applicable SEBI Regulations and more particularly SEBI circulars dated June 26, 2002 and August 27, 2009 on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that (i) no rebate/ passback is given to investors in any form and (ii) do not split applications for any benefit. <b>5.</b> The payment of Commission shall depend on the documentation completion status as per the empanelment form. <b>6.</b> All ARN holders are required to comply with the norms related to Know Your Distributor (KYD) as per AMFI circular dated August 27, 2010. The payment of Commission shall be suspended till the Distributor complies with this requirement. <b>7.</b> This Commission structure including the terms and conditions are subject to guidelines / circulars issued by SEBI/AMFI from time to time and may be revised at any time on account of any regulatory/statutory changes impacting existing as well future assets contributed by the Distributor . Any revision to this Commission structure pursuant to regulatory/statutory changes will be communicated vide a revised Distribution Remuneration Structure which shall be effective from the date indicated in the said revised structure.
<b>C. Important Definitions:-</b> "Commission" shall mean any payment due from Fund/FTAMIL as distribution fees or other fees to the Distributor for valid transactions executed through the Distributor under the Distributor's ARN code and in respect of which final allotment of units has been made to the Customer. "Distribution Remuneration Structure" shall mean the applicable fee/charges structure along with provisions for Commission, claw back and applicable taxes and deductions which shall from time to time be communicated to the Distributor through email/letters.
<i>Please refer to the Fund's Scheme Information Document (SID) / Prospectus / Fund Factsheet for the minimum amounts for investments, exit loads and other statutory and fund related information. Please also refer to SEBI / AMFI Circulars on distributor commission/remuneration issued from time to time</i>

# HDFC Mutual Fund

## COMMISSION STRUCTURE - 01 January, 2025 to 31 March, 2025

ARN-0022 (Hongkong & Shanghai Banking Corporation Ltd)



Scheme Name	Category	Exit Load Period	Trail Year 1 - APM (p.a)	Trail Year 2 to 3 - APM (p.a)	Trail Year 4 Onwards - APM (p.a)	3 Year Pricing
HDFC Asset Allocator Fund of Funds	FOF	12 Months	1.05%	1.05%	1.00%	3.15%
Equity Schemes:						
HDFC MNC Fund	Sectoral / Thematic Fund	12 Months	1.20%	1.20%	1.15%	3.60%
HDFC Non-Cyclical Consumer Fund	Sectoral / Thematic Fund	1 Month	1.20%	1.20%	1.15%	3.60%
HDFC Pharma & Healthcare Fund	Sectoral / Thematic Fund	1 Month	1.15%	1.15%	1.10%	3.45%
HDFC Transportation & Logistics Fund	Sectoral / Thematic Fund	1 Month	1.15%	1.15%	1.10%	3.45%
HDFC Technology Fund	Sectoral / Thematic Fund	1 Month	1.15%	1.15%	1.10%	3.45%
HDFC Infrastructure Fund	Sectoral / Thematic Fund	1 Month	1.05%	1.05%	1.00%	3.15%
HDFC Housing Opportunities Fund	Sectoral / Thematic Fund	1 Month	1.10%	1.10%	1.05%	3.30%
HDFC Banking and Financial Services Fund	Sectoral / Thematic Fund	1 Month	1.00%	1.00%	0.95%	3.00%
HDFC Business Cycle Fund	Sectoral / Thematic Fund	12 Months	1.00%	1.00%	0.95%	3.00%
HDFC Defence Fund	Sectoral / Thematic Fund	12 Months	0.90%	0.90%	0.85%	2.70%
HDFC Manufacturing Fund	Sectoral / Thematic Fund	1 Month	0.85%	0.85%	0.80%	2.55%
HDFC Flexi Cap Fund	Flexi Cap Fund	12 Months	0.80%	0.75%	0.70%	2.30%
HDFC Multi Cap Fund	Multi Cap Fund	12 Months	0.90%	0.90%	0.85%	2.70%
HDFC Large Cap Fund	Large Cap Fund	12 Months	0.90%	0.87%	0.80%	2.64%
HDFC Large and Mid cap Fund	Large & Mid Cap Fund	12 Months	0.88%	0.88%	0.83%	2.64%
HDFC Mid Cap Opportunities Fund	Mid Cap Fund	12 Months	0.80%	0.75%	0.70%	2.30%
HDFC Small Cap Fund	Small Cap Fund	12 Months	0.90%	0.87%	0.80%	2.64%
HDFC Dividend Yield Fund	Dividend Yield Fund	12 Months	1.00%	1.00%	0.95%	3.00%
HDFC Capital Builder Value Fund	Value Fund	12 Months	1.00%	1.00%	0.95%	3.00%
HDFC Focused 30 Fund	Focused Fund	12 Months	0.90%	0.90%	0.85%	2.70%
HDFC ELSS Tax Saver	ELSS	3 Years lock-in	0.90%	0.90%	0.85%	2.70%
Hybrid Schemes:						
HDFC Hybrid Debt Fund	Conservative Hybrid Fund	12 Months	1.00%	1.00%	0.95%	3.00%
HDFC Hybrid Equity Fund	Aggressive Hybrid Fund	12 Months	0.90%	0.90%	0.85%	2.70%
HDFC Balanced Advantage Fund	Balanced Advantage Fund	12 Months	0.65%	0.65%	0.60%	1.95%
HDFC Multi-Asset Fund	Multi Asset Allocation	12 Months	1.00%	1.00%	0.95%	3.00%
HDFC Arbitrage Fund	Arbitrage Fund	1 Month	0.50%	0.50%	0.45%	1.50%
HDFC Equity Savings Fund	Equity Savings Fund	1 Month	1.00%	1.00%	0.95%	3.00%
Solution Oriented Schemes:						
HDFC Retirement Savings Fund	Retirement Fund	\$	1.00%	1.00%	0.95%	3.00%
HDFC Children's Gift Fund	Children's Fund	\$\$	0.95%	0.95%	0.90%	2.85%
Other Schemes:						
HDFC Index Fund - NIFTY 50 Plan	Index	3 days	0.20%	0.20%	0.15%	0.60%
HDFC Index Fund - S&P BSE SENSEX Plan		3 days	0.20%	0.20%	0.15%	0.60%
HDFC Nifty Next 50 Index Fund		NIL	0.40%	0.40%	0.35%	1.20%
HDFC Nifty50 Equal Weight Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty 100 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty100 Equal Weight Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty Midcap 150 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty Smallcap 250 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC S&P BSE 500 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC NIFTY200 Momentum 30 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC NIFTY Realty Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC NIFTY100 Low Volatility 30 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty500 Multicap 50-25-25 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty LargeMidcap 250 Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty India Digital Index Fund		NIL	0.50%	0.50%	0.45%	1.50%
HDFC Nifty G-Sec Dec 2026 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Nifty G-Sec Jul 2031 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Nifty G-Sec Jun 2027 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Nifty G-Sec Sep 2032 V1 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC NIFTY G-Sec Apr 2029 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC NIFTY G-Sec Jun 2036 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Nifty SDL Oct 2026 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Nifty SDL Plus G-Sec Jun 2027 40:60 Index Fund		NIL	0.15%	0.15%	0.15%	0.45%
HDFC Dynamic PE Ratio Fund of Funds	FOF	12 Months	0.90%	0.90%	0.90%	2.70%
HDFC Silver ETF Fund of Fund		15 days	0.40%	0.40%	0.40%	1.20%
HDFC Gold Fund		15 days	0.30%	0.30%	0.30%	0.90%
Debt Schemes:						
HDFC Overnight Fund	Overnight Fund	NIL	0.10%	0.10%	0.05%	0.30%
HDFC Liquid Fund	Liquid Fund	7 days	0.10%	0.10%	0.05%	0.30%
HDFC Ultra Short Term Fund	Ultra Short Duration Fund	NIL	0.35%	0.35%	0.30%	1.05%
HDFC Low Duration Fund	Low Duration Fund	NIL	0.60%	0.60%	0.60%	1.80%
HDFC Money Market Fund	Money Market Fund	NIL	0.20%	0.20%	0.15%	0.60%
HDFC Short Term Debt Fund	Short Duration Fund	NIL	0.50%	0.50%	0.45%	1.50%
HDFC Medium Term Debt Fund	Medium Duration Fund	NIL	0.70%	0.70%	0.70%	2.10%
HDFC Income Fund	Medium to Long Duration Fund	NIL	0.70%	0.70%	0.70%	2.10%
HDFC Long Duration Debt Fund	Long Duration Fund	NIL	0.35%	0.35%	0.35%	1.05%
HDFC Dynamic Debt Fund	Dynamic Bond Fund	NIL	0.75%	0.75%	0.75%	2.25%
HDFC Corporate Bond Fund	Corporate Bond Fund	NIL	0.30%	0.30%	0.30%	0.90%
HDFC Credit Risk Debt Fund	Credit Risk Fund	18 Months	0.80%	0.80%	0.80%	2.40%
HDFC Banking and PSU Debt Fund	Banking and PSU Fund	NIL	0.45%	0.45%	0.40%	1.35%
HDFC Gilt Fund	Gilt Fund	NIL	0.45%	0.45%	0.45%	1.35%
HDFC Floating Rate Debt Fund	Floater Fund	NIL	0.25%	0.25%	0.20%	0.75%

### General terms and conditions :

APM - Annualised Payable Monthly

The above mentioned rates are applicable on Non-Systematic and Systematic (For all installments processed in the period of 01 January, 2025 to 31 March, 2025) transactions.

\$\* Lock-in is from the date of investment till the retirement age of investor (i.e. completion of 60 years) or at the end of 5 years from date of investment, whichever is earlier

\$\$\* Lock-in is from the date of investment till the child attains age of 18 years or at the end of 5 years from date of investment, whichever is earlier

\* Brokerage Structures are subject to the terms of empanelment and applicable laws and regulations, including SEBI (Mutual Fund) Regulations, AMFI Regulations, laws relating to Goods and Services Tax, Income Tax, SEBI/AMFI circulars etc.

\* AMC reserves the right to change the brokerage/incentive without any prior intimation or notification at its sole discretion, and the Distributors shall not dispute the same. AMC shall not be responsible for any losses incurred due to changes in the brokerage/incentive structure.

\* Refer KIM for minimum application amount

\* The transactions will be subject to terms and conditions as mentioned in the Scheme Information Document (SID) & Statement of Additional Information (SAI) and shall be binding on the distributor.

\* The commission rates mentioned above shall be inclusive of Goods and Services Tax (Except PMS & AIF) and other relevant statutory/regulatory levies as applicable.

\* You are advised to abide by the code of conduct and/or rules/regulations laid down by SEBI and AMFI.

\* Please refrain from offering brokerage to your sub-brokers, if any, at a rate higher than the brokerage as aforementioned.

\* The AMC reserves the right to suspend the brokerage payable to you, if brought to our notice that higher brokerage is offered to sub-brokers or you have violated the code of conduct and/or rules/regulations laid down by SEBI and AMFI and/or under the applicable law.

\* In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.

\* Mutual Fund investments are subject to market risks, read all scheme related documents carefully.



### Commission Structure for HSBC Mutual Fund

(1st January 2025 to 31st March 2025) ARN=0022

(For Lump sum, SIP & STP Investments)

Scheme Name	Category	Total Trail (APM*)
Equity		
HSBC Large Cap Fund	Large Cap Fund	1.10%
HSBC Focused Fund	Focused Fund	1.11%
HSBC Large & Mid Cap Fund	Large & Mid Cap Fund	1.01%
HSBC Infrastructure Fund	Sectoral Fund	1.06%
HSBC Business Cycles Fund	Thematic Fund	1.19%
HSBC Balanced Advantage Fund	Dynamic Asset Allocation Fund	1.13%
HSBC Flexi Cap Fund	Flexi Cap Fund	0.99%
HSBC Aggressive Hybrid Fund	Aggressive Hybrid Fund	0.97%
HSBC ELSS Tax Saver Fund	ELSS Tax Saver Fund	0.97%
HSBC Value Fund	Value Fund	0.90%
HSBC Midcap Fund	Mid Cap Fund	0.91%
HSBC Small Cap Fund	Small Cap Fund	0.88%
HSBC Conservative Hybrid Fund	Conservative Hybrid Fund	1.12%
HSBC Equity Savings Fund	Equity Savings Fund	0.78%
HSBC Multi Cap Fund	Multi Cap Fund	1.00%
HSBC Consumption Fund	Consumption Fund	1.12%
HSBC Multi Asset Allocation Fund	Multi Asset	1.02%
HSBC India Export Opportunities Fund	India Export Opportunities Fund	1.12%
Index Based		
HSBC NIFTY NEXT 50 INDEX FUND	Index Fund	0.39%
HSBC NIFTY 50 INDEX FUND		0.21%
HSBC CRISIL IBX 50:50 Gilt Plus SDL Apr 2028 Index Fund		0.20%
HSBC Crisil IBX Gilt June 2027 Index Fund		0.22%
Arbitrage Based		
HSBC Arbitrage Fund	Arbitrage Fund	0.49%
Debt Funds		
HSBC Corporate Bond Fund	Corporate Bond Fund	0.31%
HSBC Banking and PSU Debt Fund	Banking and PSU Fund	0.30%
HSBC Short Duration Fund	Short Duration Fund	0.39%
HSBC Medium Duration Fund	Medium Duration Fund	0.57%
HSBC Credit Risk Fund	Credit Risk Fund	0.87%
HSBC Gilt Fund	Gilt Fund	0.92%
HSBC Dynamic Bond Fund	Dynamic Bond Fund	0.39%
HSBC Low Duration Fund	Low Duration Fund	0.36%

HSBC Ultra Short Duration Fund	Ultra-Short-Term Duration Fund	0.22%
HSBC Money Market Fund	Money Market Fund	0.18%
HSBC Medium to Long Duration Fund	Medium to Long Duration	1.00%
Liquid Funds		
HSBC Overnight Fund	Overnight Fund	0.06%
HSBC Liquid Fund	Liquid Fund	0.09%
FOF		
HSBC Asia Pacific(Ex Japan)Div YieldFund	Overseas Fund	0.66%
HSBC Brazil Fund	Overseas Fund	0.76%
HSBC Global Equity Climate Change FOF	Overseas Fund	0.72%
HSBC Global Emerging Markets Fund	Overseas Fund	0.75%
HSBC Managed Solution India-Conservative	Domestic Fund	0.46%
HSBC Managed Solutions India - Growth	Domestic Fund	0.55%
HSBC Managed Solutions India - Moderate	Domestic Fund	0.63%

\* ANNUALISED PAYABLE MONTHLY

### Commission Structure for HSBC Mutual Fund – Terms and Conditions (1st January 2025 to 31st March 2025) ARN=0022

#### General :

- This is further to your empanelment with HSBC Mutual Fund.
- The aforesaid structure is effective from 1st January 2025 to 31st March 2025. This structure will remain effective till further notice and may change at the discretion of AMC as a result of any changes in the regulations/guidelines. This structure is inclusive of all taxes.
- Further to comply with the SEBI regulation, prepaid commissions for the systematic transactions (SIP/STP) triggered w.e.f.22nd October from the registrations processed prior to 22nd October 2018, has been discontinued.
- Trail Commission: The Trail Commission is calculated on the basis of 'Daily Average Assets'. The amount payable to the distributor shall be paid in the following month.
- Commission/Incentive(s) if any will be paid on switches/systematic transfer from one scheme to another scheme as per the applicable structure. In case of option change, Trail commission will continue in the target scheme as per the applicable structure.
- If the total commission pay out to the distributor for a month (including Incentive) is less than Rs. 250/-, the same would be accrued and carried forward to subsequent months for payouts.
- AMC reserves the right to change the commission structure at its sole discretion, without giving any notice.
- The AMC reserves the right not to pay Commission/Incentive on assets mobilized through multiple / split applications from the same investor where such arrangement is made with an intention to earn Commission/Incentive(s) otherwise not available on the investment.
- In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.
- The rules and regulations of SEBI/AMFI pertaining to commission/incentive payments to distributors shall apply for payment of the commission/incentive as per the structure stated above.
- The aforesaid commission/incentive structures are based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the aforesaid commission structure including commission structure prevailing for historical AUM. AMC reserves the right to change, withdraw and / or amend, the above mentioned terms and conditions without any prior notice.
- For change of broker code cases, payment of commission will be governed by the requirements of SEBI and / or AMFI.

m) As per the Notification No. 38/2017 – Central Tax (Rate) dated 13th October'2017, the discharge of liability towards GST will be sole responsibility of the distributor and HSBC Mutual Fund will not deduct GST under Reverse Charge Mechanism (RCM) until further notice from GST Council. However, the AMC reserves the right to deduct the same in future without any notice if any notification is received in this regard. AMC also reserves the right to deduct any other applicable statutory dues.

n) AMC reserves the right to hold the commission payment for Investors' KYC incomplete/ failure cases or in case of any other direction received from SEBI/ AMFI in this regard.

o) AMC reserves the right to hold the commission payment if the bank details of the distributor are incomplete/not registered with AMC.

p) The commission rates are inclusive of all taxes, levies, statutory dues and Goods & Services tax (GST).

**THE DISTRIBUTOR AGREES THAT SOURCING OF FUNDS FOR HSBC MUTUAL FUND SCHEME(S) AFTER RECEIPT OF THIS BROKERAGE STRUCTURE INCLUDING ABOVE REFERRED TERMS AND CONDITIONS SHALL BE CONSTRUED AS AN AGREEMENT OF HIS/HER/ITS ACCEPTANCE TO THE TERMS AND CONDITIONS.**

# ICICI Prudential Mutual Fund

ICICI Prudential Mutual Fund COMMISSION STRUCTURE -Jan -2025				
ARN-0022- HSBC Bank	Across all cities T30 & B30			
EQUITY SCHEMES	Trail 1st Yr	Trail 2nd yr	Trail 3rd yr	Trail 4th yr
ICICI Prudential ELSS Tax Saver Fund	0.89%	0.89%	0.89%	0.89%
ICICI Prudential Focused Equity Fund	0.95%	0.95%	0.95%	0.95%
ICICI Prudential Multi Cap Fund	0.85%	0.85%	0.85%	0.85%
ICICI Prudential Mid Cap Fund	0.90%	0.90%	0.90%	0.90%
ICICI Prudential Dividend Yield Equity Fund	0.79%	0.79%	0.79%	0.79%
ICICI Prudential Bluechip Fund	0.68%	0.68%	0.68%	0.68%
ICICI Prudential Infrastructure Fund	0.90%	0.90%	0.90%	0.90%
ICICI Prudential Value Discovery Fund	0.70%	0.70%	0.70%	0.70%
ICICI Prudential US Bluechip Fund	0.95%	0.95%	0.95%	0.95%
ICICI Prudential Large & Mid Cap Fund	0.90%	0.90%	0.90%	0.90%
ICICI Prudential Technology Fund	0.81%	0.81%	0.81%	0.81%
ICICI Prudential Banking & Financial Services Fund	0.85%	0.85%	0.85%	0.85%
ICICI Prudential FMCG Fund	1.10%	1.10%	1.10%	1.00%
ICICI Prudential Pharma Healthcare and Diagnostics (P.H.D.) Fund	0.95%	0.95%	0.95%	0.95%
ICICI Prudential Manufacturing Fund	0.84%	0.84%	0.84%	0.76%
ICICI Prudential India Opportunities Fund	0.86%	0.86%	0.75%	0.75%
ICICI Prudential Smallcap Fund	0.79%	0.79%	0.79%	0.79%
ICICI Prudential Exports & Services Fund	1.10%	1.10%	1.10%	1.00%
ICICI Prudential Bharat Consumption Fund	0.77%	0.77%	0.77%	0.77%
ICICI Prudential MNC Fund	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Commodities Fund	0.81%	0.81%	0.81%	0.81%
ICICI Prudential ESG Fund Exclusionary Strategy Fund	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Quant Fund	0.48%	0.48%	0.48%	0.48%
ICICI Prudential Business Cycle Fund	0.90%	0.90%	0.90%	0.85%
ICICI Prudential Flexi cap Fund	0.90%	0.90%	0.90%	0.90%
ICICI Prudential Housing Opportunities Fund	0.80%	0.80%	0.70%	0.70%
ICICI Prudential PSU Equity Fund	0.87%	0.87%	0.87%	0.87%
ICICI Prudential Transportation & Logistics Fund	0.95%	0.95%	0.95%	0.95%
ICICI Prudential Innovation Fund	0.94%	0.94%	0.94%	0.94%
ICICI Prudential Energy Opportunities Fund	0.80%	0.80%	0.80%	0.80%
ICICI Prudential Equity Minimum Variance Fund	0.90%	0.90%	0.90%	0.90%
HYBRID SCHEMES	Trail 1st Yr	Trail 2nd yr	Trail 3rd yr	Trail 4th yr
ICICI Prudential Equity & Debt Fund	0.75%	0.75%	0.75%	0.75%
ICICI Prudential Multi-Asset Fund	0.68%	0.68%	0.68%	0.68%
ICICI Prudential Balanced Advantage Fund	0.68%	0.68%	0.68%	0.68%
ICICI Prudential Equity Savings Fund	0.48%	0.48%	0.48%	0.48%
ICICI Prudential Equity - Arbitrage Fund	0.50%	0.50%	0.50%	0.50%
ICICI Prudential Regular Savings Fund	0.65%	0.65%	0.65%	0.65%
SOLUTION ORIENTED SCHEME	Trail 1st Yr	Trail 2nd yr	Trail 3rd yr	Trail 4th yr
ICICI Prudential Child Care Fund - Gift Plan	0.85%	0.60%	0.60%	0.60%
ICICI Prudential Retirement Fund-Pure Equity Plan	0.76%	0.76%	0.76%	0.76%
ICICI Prudential Retirement Fund-Hybrid Aggressive Plan	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Retirement Fund-Pure Debt Plan	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Retirement Fund-Hybrid Conservative Plan	0.98%	0.98%	0.98%	0.98%
DEBT SCHEMES	Trail 1st Yr	Trail 2nd yr	Trail 3rd yr	Trail 4th yr
ICICI Prudential Credit Risk Fund	0.90%	0.90%	0.80%	0.80%
ICICI Prudential Medium Term Bond Fund	0.70%	0.70%	0.70%	0.70%
ICICI Prudential All Seasons Bond Fund	0.72%	0.72%	0.72%	0.72%
ICICI Prudential Long Term Bond Fund	0.41%	0.41%	0.41%	0.41%

ICICI Prudential Short Term fund	0.64%	0.64%	0.64%	0.64%
ICICI Prudential Gilt Fund	0.46%	0.46%	0.46%	0.46%
ICICI Prudential Floating Interest Fund	0.64%	0.36%	0.36%	0.36%
ICICI Prudential Ultra Short Term Fund	0.29%	0.29%	0.29%	0.29%
ICICI Prudential Bond Fund	0.47%	0.47%	0.47%	0.47%
ICICI Prudential Banking & PSU Debt Fund	0.40%	0.40%	0.40%	0.40%
ICICI Prudential Corporate Bond Fund	0.23%	0.23%	0.23%	0.23%
ICICI Prudential Constant Maturity Gilt Fund	0.10%	0.10%	0.10%	0.10%
ICICI Prudential Money Market Fund	0.10%	0.10%	0.10%	0.10%
ICICI Prudential Savings Fund	0.13%	0.13%	0.13%	0.13%
ICICI Prudential Liquid Plan	0.075%	0.075%	0.025%	0.025%
ICICI Prudential Overnight Fund	0.04%	0.04%	0.04%	0.04%
<b>OTHER SCHEMES</b>	<b>Trail 1st Yr</b>	<b>Trail 2nd yr</b>	<b>Trail 3rd yr</b>	<b>Trail 4th yr</b>
<b>Index Funds</b>				
ICICI Prudential Nifty 50 Index Fund	0.24%	0.24%	0.24%	0.24%
ICICI Prudential BSE Sensex Index Fund	0.16%	0.16%	0.16%	0.16%
ICICI Prudential Nifty Next 50 Index Fund	0.54%	0.54%	0.54%	0.54%
ICICI Prudential Nifty PSU Bond Plus SDL Sep 2027 40:60	0.27%	0.27%	0.27%	0.27%
ICICI Prudential NASDAQ 100 Index Fund	0.70%	0.70%	0.70%	0.70%
ICICI Prudential Nifty Smallcap 250 Index Fund	0.71%	0.71%	0.71%	0.71%
ICICI Prudential Nifty Midcap 150 Index Fund	0.79%	0.79%	0.79%	0.79%
ICICI Prudential Nifty Bank Index Fund	0.68%	0.68%	0.68%	0.68%
ICICI Prudential Nifty SDL Sep 2027 Index Fund	0.25%	0.25%	0.25%	0.25%
ICICI Prudential Nifty 200 Momentum 30 Index Fund	0.84%	0.84%	0.84%	0.84%
ICICI Prudential Nifty IT Index Fund	0.70%	0.70%	0.70%	0.70%
ICICI Prudential Nifty 50 Equal Weight Index Fund	0.85%	0.85%	0.85%	0.85%
ICICI Prudential Nifty Auto Index Fund	0.69%	0.69%	0.69%	0.69%
ICICI Prudential Nifty G-Sec Dec 2030 Index Fund	0.26%	0.26%	0.26%	0.26%
ICICI Prudential Nifty SDL Dec 2028 Index Fund	0.27%	0.27%	0.27%	0.27%
ICICI Prudential Nifty Pharma Index Fund	0.70%	0.70%	0.70%	0.70%
ICICI Prudential Nifty SDL Sep 2026 Index Fund	0.26%	0.26%	0.26%	0.26%
ICICI Prudential Nifty50 Value 20 Index Fund	0.45%	0.45%	0.45%	0.45%
ICICI Prudential Nifty LargeMidcap 250 Index Fund	0.50%	0.50%	0.50%	0.50%
ICICI Prudential Nifty200 Value 30 Index Fund	0.50%	0.50%	0.50%	0.50%
ICICI Prudential Nifty 500 Index Fund	0.50%	0.50%	0.50%	0.50%
<b>Gold Funds &amp; FOF</b>				
ICICI Prudential Global Stable Equity Fund (FOF)	0.78%	0.78%	0.78%	0.78%
ICICI Prudential Passive Strategy Fund (FOF)	0.45%	0.45%	0.45%	0.45%
ICICI Prudential Thematic Advantage Fund (FOF)	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Asset Allocator Fund (FOF)	1.00%	1.00%	1.00%	1.00%
ICICI Prudential Income Optimizer Fund (FOF)	0.05%	0.05%	0.05%	0.05%
ICICI Prudential Debt Management Fund (FOF)	0.14%	0.14%	0.14%	0.14%
ICICI Prudential Regular Gold Savings Fund (FOF)	0.54%	0.54%	0.54%	0.54%
ICICI Prudential Global Advantage Fund (FOF)	0.50%	0.50%	0.50%	0.50%
ICICI Prudential India Equity (FOF)	0.27%	0.27%	0.27%	0.27%
ICICI Prudential Nifty 100 Low Volatility 30 ETF FOF	0.78%	0.78%	0.78%	0.78%
ICICI Prudential Nifty Alpha Low - Volatility 30 ETF FOF	0.75%	0.75%	0.75%	0.75%
ICICI Prudential BSE 500 ETF FOF	0.75%	0.75%	0.75%	0.75%
ICICI Prudential Passive Multi-Asset Fund of Funds	0.30%	0.30%	0.30%	0.30%
ICICI Prudential Silver ETF Fund of Fund	0.60%	0.60%	0.60%	0.60%
ICICI Prudential Strategic Metal and Energy Equity Fund of F	0.67%	0.67%	0.67%	0.67%
Terms: The transactions will be subject to terms and conditions as mentioned in the Scheme Information				
With reference to SEBI circular no, SEBI/HO/IMD/DF2/CIR/P/2018/91 dated June 5, 2018 regarding Total				
<b>Mutual Fund investments are subject to market risks, read all scheme related documents</b>				
New SIP/STP registered - Trail brokerage would be applicable as on Trade date / Installment date.				
SIP-STP Applications - Trail brokerage would be applicable as mentioned above.				



For Switches* - Trail brokerage would be same as normal purchase application.
*In case of Intra Scheme switch transaction, brokerage rate prevalent for the said transaction before the switch will be applicable.
As advised by SEBI & as per AMFI Communication dated 2nd March 2023 bearing no. 35P/MEM-COR/85/2022-23, B30 incentive structure has been kept in abeyance until further notice effective 1st March 2023.
<p>Terms: The transactions will be subject to terms and conditions as mentioned in the Scheme Information Document (SID) &amp; Statement of Additional Information (SAI) and shall be binding on the distributor. The Commission mentioned hereinabove is solely payable to AMFI/NISM certified distributors and can be changed by the AMC at its sole discretion without any prior intimation or notification. The Commission given above is inclusive of all taxes/charges/levy on these arrangements/transactions. The AMC shall not be responsible for any losses incurred by any one due to change in the Commission structure. The Commission shall be subject to clawback provisions, as applicable. The Distributor should abide by the code of conduct and rules/regulations laid down by SEBI and AMFI. Also, it is specifically mentioned that the Distributor will neither pass on or rebate Commission back to investors nor tempt them with rebate/gifts. The AMC will take disciplinary action against any Distributor who is found violating the rules, regulations and Code of conduct. The AMC reserves the right to suspend the Commission payable, if it is brought to our notice that the Distributor has violated the code of conduct and/or rules/regulations laid down by SEBI and AMFI. The Distributor shall disclose all commissions (including in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor. The decision of AMC in all matters pertaining to the Commission will be final and binding in all respects on the Distributor. It would be deemed that the terms as stated in this communication have been accepted by you if you mobilise business subsequent to this communication. These terms are also applicable to all live structures including to the payment of trail commission where payable and all such commission are inclusive of all taxes/charges/levy. Further, AMC reserves right to revise trail commission in case there is change in regulation pertaining to fund related expenses.</p>
With reference to SEBI circular no, SEBI/HO/IMD/DF2/CIR/P/2018/91 dated June 5, 2018 regarding Total Expense Ratio for Mutual Funds as stated in the circular additional expense under regulation 52 (6A) (c) has reduced from 0.20 to 0.05. Therefore, the brokerage on the existing assets shall be revised accordingly for all applicable Schemes.
<b>Mutual Fund investments are subject to market risks, read all scheme related documents</b>



# Invesco Mutual Fund



## Brokerage Structure for the period 1st January 2025 to 31st March 2025

Name				ARN
Category	Name of the Fund	Base Trail (% p.a.) 1st year	Base Trail (% p.a.) 2nd & 3rd year	Base Trail (% p.a.) 4th year onwards
Equity	Invesco India Largecap Fund	1.10	1.10	1.10
	Invesco India Midcap Fund	1.10	1.10	1.10
	Invesco India Smallcap Fund	1.10	1.10	1.10
	Invesco India Flexicap Fund	1.10	1.10	1.10
	Invesco India Multicap Fund	1.10	1.10	1.10
	Invesco India Focused Fund	1.10	1.10	1.10
	Invesco India Large & Mid Cap Fund	1.15	1.15	1.00
	Invesco India Contra Fund	1.10	1.10	0.95
ELSS	Invesco India ELSS Tax Saver Fund	1.10	1.10	1.10
Thematic	Invesco India Financial Services Fund	1.10	1.10	1.10
	Invesco India Manufacturing Fund	1.10	1.10	1.10
	Invesco India Technology Fund	1.10	1.10	1.10
	Invesco India ESG Integration Strategy Fund	1.10	1.10	1.10
	Invesco India Infrastructure Fund	1.10	1.10	1.10
	Invesco India PSU Equity Fund	1.10	1.10	1.10
Hybrid	Invesco India Aggressive Hybrid Fund	1.10	1.10	1.10
	Invesco India Equity Savings Fund	1.10	1.10	1.10
	Invesco India Balanced Advantage Fund	1.10	1.10	1.10
	Invesco India Multi Asset Allocation Fund	1.00	1.00	0.65
International FoF	Invesco India - Invesco Global Consumer Trends Fund Of Fund	0.75	0.75	0.75
	Invesco India - Invesco EQQQ NASDAQ-100ETF Fund of Fund	0.25	0.25	0.25
	Invesco India - Invesco Pan European Equity Fund of Fund	0.40	0.40	0.40
	Invesco India - Invesco Global Equity Income Fund of Fund			
Gold	Invesco India Gold ETF Fund of Fund	0.35	0.35	0.35
Equity oriented	Invesco India Arbitrage Fund	0.60	0.60	0.60
Overnight	Invesco India Overnight Fund	0.05	0.05	0.05
Liquid	Invesco India Liquid Fund	0.04	0.04	0.04
Debt	Invesco India Low Duration Fund	0.25	0.25	0.25
	Invesco India Short Duration Fund	0.75	0.50	0.50
	Invesco India Money Market Fund	0.25	0.25	0.25
	Invesco India Ultra Short Duration Fund	0.55	0.55	0.55
	Invesco India Corporate Bond Fund	0.40	0.40	0.40
	Invesco India Medium Duration Fund	0.75	0.75	0.50
	Invesco India Credit Risk Fund	0.50	0.50	0.50
	Invesco India Banking and PSU Fund	0.30	0.30	0.30
	Invesco India Nifty G-sec Jul 2027 Index Fund	0.15	0.15	0.15
	Invesco India Nifty G-sec Sep 2032 Index Fund	0.15	0.15	0.15
Gilt	Invesco India Gilt Fund	0.75	0.60	0.60

### Terms & Conditions

#### I. General

- 1) Brokerage paid is inclusive of GST and all other taxes/ levies as applicable from time to time. You are requested to comply with GST law by furnishing your GSTIN to AMFI unit of CAMS.
- 2) Brokerage will be payable only to distributors empanelled with us and for applications logged under their respective ARN.
- 3) This structure will supersede any existing brokerage structure for the period of 1st January 2025 to 31st March 2025.

#### II. Regulatory

- 1) The above mentioned brokerage structure is applicable subject to provisions of SEBI regulations/AMFI Circulars as amended from time to time.
- 2) The above mentioned brokerage structure for the 1st January 2025 to 31st March 2025 period is subject to any amendments as the AMC at it's sole discretion may carry out without any prior intimation or notification in response to any Regulatory changes/ clarifications in relation to load structure/expenses ratio/ commission/ incentive/ trail and payment of brokerage etc.
- 3) The AMC reserves the right to amend/withdraw the above brokerage structure without assigning any reasons.
- 4) In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.
- 5) Vide SEBI circular dated November 28th, 2002 and AMFI's subsequent circulars, intermediaries are not entitled to commission/incentive on their own investment.
- 6) SEBI notification No. SEBI/LAD-NRO/GN/2018/51 dated December 13, 2018 (SEBI (Mutual Funds) (Fourth Amendment) Regulations, 2018 published in the Gazette of India Extraordinary Part III – Section 4 dated December 13, 2018), has amended Regulation 52, sub-regulation 6 of Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 in relation to Total Expense ratio of the schemes which has/shall result in changes in the Total Expense ratio of the schemes w.e.f. April 1, 2019 resulting in consequent changes in the trail commission of few schemes payable for future periods in respect of outstanding assets.

Date : January 05,2025

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Brokerage Structure

Distributor : ARN-0022/ Hongkong & Shanghai Banking Corporation Ltd.

for the Investment Period : 01-Jan-2025 to 31-Mar-2025



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	BDO Inc: Trailer Fee Clawback		
							BDO Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
INDEX	INDEX	Kotak BSE PSU Index Fund	01-Jan-2025 to 31-Mar-2025	Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.65	0.65	0.65	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.65	0.65	0.65	NO			
INDEX		Kotak Nifty India Tourism Index Fund		Lump sum	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
				Systematic	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
INDEX		Kotak Nifty Midcap 150 Momentum 50 Index Fund		Lump sum	1 to MAX	FIXED	0	0.63	0.63	0.63	0.63	0.63	NO			
				Systematic	1 to MAX	FIXED	0	0.63	0.63	0.63	0.63	0.63	NO			
INDEX	INDEX	Kotak NIFTY 100 Low Volatility 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.62	0.62	0.62	0.62	0.62	NO			
				Systematic	1 to MAX	FIXED	0	0.62	0.62	0.62	0.62	0.62	NO			
INDEX		KOTAK NIFTY SMALLCAP 50 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO			
				Systematic	1 to MAX	FIXED	0	0.6	0.6	0.6	0.6	0.6	NO			
INDEX		Kotak Nifty Midcap 50 Index fund		Lump sum	1 to MAX	FIXED	0	0.58	0.58	0.58	0.58	0.58	NO			
				Systematic	1 to MAX	FIXED	0	0.58	0.58	0.58	0.58	0.58	NO			
INDEX	INDEX	Kotak Nifty 100 Equal Weight Index Fund		Lump sum	1 to MAX	FIXED	0	0.49	0.49	0.49	0.49	0.49	NO			
				Systematic	1 to MAX	FIXED	0	0.49	0.49	0.49	0.49	0.49	NO			
INDEX		Kotak Nifty 50 Equal Weight Index Fund		Lump sum	1 to MAX	FIXED	0	0.49	0.49	0.49	0.49	0.49	NO			
				Systematic	1 to MAX	FIXED	0	0.49	0.49	0.49	0.49	0.49	NO			
INDEX	INDEX	Kotak Nifty 200 Momentum 30 Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
INDEX		Kotak Nifty Financial Services Ex-Bank Index Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.45	0.45	NO			
INDEX		Kotak S&P BSE Housing Index Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
INDEX		Kotak Nifty G-Sec July 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
INDEX		KOTAK NIFTY SOL JUL 2028 INDEX FUND		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
INDEX	INDEX	KOTAK CRISIL-IBX AAA FINANCIAL SERVICES INDEX SEP 2027 FUND		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
INDEX		Kotak Nifty SOL Jul 2033 Index Fund		Lump sum	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	NO			
				Systematic	1 to MAX	FIXED	0	0.19	0.19	0.19	0.19	0.19	NO			
INDEX		Kotak Nifty SOL Jul 2026 Index Fund		Lump sum	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	NO			
				Systematic	1 to MAX	FIXED	0	0.18	0.18	0.18	0.18	0.18	NO			

Perc- Percentage

Prop- Proportionate

NC- No Clawback

Thld(D)- Threshold Period(In Days)

## Brokerage Structure

Distributor : ARN-0022/ Hongkong & Shanghai Banking Corporation Ltd.

for the Investment Period : 01-Jan-2025 to 31-Mar-2025



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						Ag Assets	BDO Inc: Trailer Fee Clawback		
							BDO Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
INDEX	INDEX	Kotak Nifty AAA Bond Jun 2025 HTM Index Fund	01-Jan-2025 to 31-Mar-2025	Lump sum	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
				Systematic	1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO			
EQUITY	Equity	Kotak Banking and Financial Services Fund		Lump sum	1 to MAX	FIXED	0	1.45	1.45	1.45	1.45	1.45	NO			
				Systematic	1 to MAX	FIXED	0	1.45	1.45	1.45	1.45	1.45	NO			
EQUITY		Kotak ESG Exclusionary Strategy Fund		Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	NO			
				Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.35	1.35	NO			
EQUITY		Kotak Technology Fund		Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.15	1.15	NO			
EQUITY		Kotak Consumption Fund		Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.1	1.1	NO			
				Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.1	1.1	NO			
EQUITY		Kotak Healthcare Fund		Lump sum	1 to MAX	FIXED	0	1.35	1.35	1.35	1.1	1.1	NO			
				Systematic	1 to MAX	FIXED	0	1.35	1.35	1.35	1.1	1.1	NO			
EQUITY		Kotak Manufacture in India Fund		Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	NO			
				Systematic	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	NO			
EQUITY		Kotak Transportation & Logistics Fund		Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	NO			
				Systematic	1 to MAX	FIXED	0	1.3	1.3	1.3	1.3	1.3	NO			
EQUITY		Kotak Business Cycle Fund		Lump sum	1 to MAX	FIXED	0	1.3	1.3	1.1	1.1	1.1	NO			
				Systematic	1 to MAX	FIXED	0	1.3	1.3	1.1	1.1	1.1	NO			
EQUITY		Kotak Focused Equity Fund		Lump sum	1 to MAX	FIXED	0	1.25	1.25	1.1	1.1	1.1	NO			
				Systematic	1 to MAX	FIXED	0	1.25	1.25	1.1	1.1	1.1	NO			
EQUITY		Kotak Pioneer Fund		Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO			
				Systematic	1 to MAX	FIXED	0	1.2	1.2	1.2	1.2	1.2	NO			
EQUITY	Large Cap Fund	Kotak Bluechip Fund		Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
EQUITY	Contra Fund	Kotak India EQ Contra Fund		Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
EQUITY	ELSS	Kotak ELSS Tax Saver Fund		Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
EQUITY	Equity	Kotak Multi Asset Allocation Fund		Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
				Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
EQUITY	Sectoral or Thematic Fund	Kotak Infrastructure & Economic Reform Fund		Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO			
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO			

Perc- Percentage

Prop- Proportionate

NC- No Clawback

Thld(D)- Threshold Period(In Days)

Appendix v1.1

## Brokerage Structure

Distributor : ARN-0022/ Hongkong & Shanghai Banking Corporation Ltd.

for the Investment Period : 01-Jan-2025 to 31-Mar-2025



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	BDO Inc: Trailer Fee Clawback			
							BDO Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)	
EQUITY	Equity	Kotak MNC Fund	01-Jan-2025 to 31-Mar-2025	Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO				
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO				
EQUITY		Kotak Multicap Fund		Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO				
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	NO				
EQUITY		Kotak Special Opportunities Fund		Lump sum	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	no				
				Systematic	1 to MAX	FIXED	0	1.1	1.1	1.1	1.1	1.1	no				
EQUITY	Large and Mid Cap Fund	Kotak Equity Opportunities Fund			Lump sum	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO			
					Systematic	1 to MAX	FIXED	0	1.05	1.05	1.05	1.05	1.05	NO			
EQUITY	Equity	Kotak Quant Fund			Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO			
					Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO			
EQUITY	Small Cap Fund	Kotak Small Cap Fund			Lump sum	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO			
					Systematic	1 to MAX	FIXED	0	1	1	0.95	0.95	0.95	NO			
EQUITY	Equity	Kotak Flexicap Fund			Lump sum	1 to MAX	FIXED	0	0.95	0.95	0.85	0.85	0.85	NO			
					Systematic	1 to MAX	FIXED	0	0.95	0.95	0.85	0.85	0.85	NO			
EQUITY	Equity Savings	Kotak Equity Savings Scheme			Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.8	0.8	NO			
					Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.8	0.8	NO			
EQUITY	Mid Cap Fund	Kotak Emerging Equity			Lump sum	1 to MAX	FIXED	0	0.88	0.88	0.8	0.8	0.8	NO			
					Systematic	1 to MAX	FIXED	0	0.88	0.88	0.8	0.8	0.8	NO			
EQUITY	Arbitrage Fund	Kotak Arbitrage Fund			Lump sum	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO			
					Systematic	1 to MAX	FIXED	0	0.55	0.55	0.55	0.55	0.55	NO			
EQUITY	Equity	Kotak Nifty Next 50 Index Fund			Lump sum	1 to MAX	FIXED	0	0.38	0.38	0.38	0.38	0.38	NO			
					Systematic	1 to MAX	FIXED	0	0.38	0.38	0.38	0.38	0.38	NO			
EQUITY		Kotak Nifty 50 Index Fund			Lump sum	1 to MAX	FIXED	0	0.28	0.28	0.28	0.28	0.28	NO			
					Systematic	1 to MAX	FIXED	0	0.28	0.28	0.28	0.28	0.28	NO			
HYBRID	Conservative Hybrid Fund	Kotak Debt Hybrid			Lump sum	1 to MAX	FIXED	0	1.2	1.2	1.1	1.1	1.1	NO			
					Systematic	1 to MAX	FIXED	0	1.2	1.2	1.1	1.1	1.1	NO			
HYBRID	Balanced Hybrid Fund	Kotak Equity Hybrid			Lump sum	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
					Systematic	1 to MAX	FIXED	0	1.15	1.15	1.15	1.15	1.15	NO			
HYBRID	Dynamic Asset Allocation fund	Kotak Balanced Advantage Fund			Lump sum	1 to MAX	FIXED	0	1.1	1.1	0.9	0.9	0.9	NO			
					Systematic	1 to MAX	FIXED	0	1.1	1.1	0.9	0.9	0.9	NO			
DEBT	Gilt Fund	Kotak Gilt Investments Fund			Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO			
					Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO			

Perc- Percentage

Prop- Proportionate

NC- No Clawback

Thld(D)- Threshold Period(In Days)

Appendix v1.1



## Brokerage Structure

Distributor : ARN-0022/ Hongkong & Shanghai Banking Corporation Ltd.

for the Investment Period : 01-Jan-2025 to 31-Mar-2025



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						Ag Assets	B30 Inc: Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
DEBT	Medium to Long Term Duration Fund	Kotak Bond Fund	01-Jan-2025 to 31-Mar-2025	Lump sum	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO			
				Systematic	1 to MAX	FIXED	0	0.9	0.9	0.9	0.9	0.9	NO			
DEBT	Credit Risk Fund	Kotak Credit Risk Fund		Lump sum	1 to MAX	FIXED	0	0.85	0.85	0.85	0.85	0.85	NO			
				Systematic	1 to MAX	FIXED	0	0.85	0.85	0.85	0.85	0.85	NO			
DEBT	Medium Duration Fund	Kotak Medium Term Fund		Lump sum	1 to MAX	FIXED	0	0.8	0.8	0.8	0.8	0.8	NO			
				Systematic	1 to MAX	FIXED	0	0.8	0.8	0.8	0.8	0.8	NO			
DEBT	Short Duration Fund	Kotak Bond Short Term Fund		Lump sum	1 to MAX	FIXED	0	0.8	0.8	0.75	0.75	0.75	NO			
				Systematic	1 to MAX	FIXED	0	0.8	0.8	0.75	0.75	0.75	NO			
DEBT	Low duration Fund	Kotak Low Duration Fund		Lump sum	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
				Systematic	1 to MAX	FIXED	0	0.7	0.7	0.7	0.7	0.7	NO			
DEBT	Dynamic Bond	Kotak Dynamic Bond Fund		Lump sum	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
				Systematic	1 to MAX	FIXED	0	0.65	0.65	0.65	0.65	0.65	NO			
DEBT	Banking and PSU Fund	Kotak Banking and PSU Debt Fund		Lump sum	1 to MAX	FIXED	0	0.45	0.45	0.45	0.3	0.3	NO			
				Systematic	1 to MAX	FIXED	0	0.45	0.45	0.45	0.3	0.3	NO			
DEBT	Ultra short Duration Fund	Kotak Savings Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
DEBT	Corporate Bond Fund	Kotak Corporate Bond Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
DEBT	Debt	Kotak Long Duration Fund		Lump sum	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
				Systematic	1 to MAX	FIXED	0	0.25	0.25	0.25	0.25	0.25	NO			
KOTAK NIFTY 50L PLUS AAA PSU BOND JUL 2028 60:40		Lump sum		1 to MAX	FIXED	0	0.23	0.2	0.2	0.2	0.2	NO				
		Systematic		1 to MAX	FIXED	0	0.23	0.2	0.2	0.2	0.2	NO				
Kotak Floating Rate Fund		Lump sum		1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO				
		Systematic		1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO				
Kotak Nifty 50L Apr 2027 Top 12 Equal Weight Index		Lump sum		1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO				
		Systematic		1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO				
Kotak Nifty 50L Apr 2032 Top 12 Equal Weight Index		Lump sum		1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO				
		Systematic		1 to MAX	FIXED	0	0.15	0.15	0.15	0.15	0.15	NO				
DEBT	Money Market scheme	Kotak Money Market Scheme		Lump sum	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO			
				Systematic	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO			
DEBT	Liquid Fund	Kotak Liquid Fund		Lump sum	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO			
				Systematic	1 to MAX	FIXED	0	0.05	0.05	0.05	0.05	0.05	NO			

Perc- Percentage

Prop- Proportionate

NC- No Clawback

Thld(D)- Threshold Period(In Days)

April 2025 v1.1

## Brokerage Structure

Distributor : ARN-0022/ Hongkong & Shanghai Banking Corporation Ltd.

for the Investment Period : 01-Jan-2025 to 31-Mar-2025



Class	SEBI Scheme categorization	Scheme	Investment Period	Transaction Nature	Investment Amount	Rate Type	Trailer Fee						All Assets	B30 Inc: Trailer Fee Clawback		
							B30 Inc	1st Yr	2nd Yr	3rd Yr	4th Yr	>=5th Yr		Type	%	Thld(D)
DEBT	Overnight Fund	Kotak Overnight Fund	01-Jan-2025 to 31-Mar-2025	Lump sum	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
				Systematic	1 to MAX	FIXED	0	0.03	0.03	0.03	0.03	0.03	NO			
FOF	FOF	Kotak Global Innovation Fund of Fund		Lump sum	1 to MAX	FIXED	0	1	1	1	1	1	NO			
				Systematic	1 to MAX	FIXED	0	1	1	1	1	1	NO			
FOF		Kotak International REIT FOF		Lump sum	1 to MAX	FIXED	0	0.8	0.8	0.8	0.7	0.7	NO			
					Systematic	1 to MAX	FIXED	0	0.8	0.8	0.8	0.7	0.7	NO		
FOF	Fund of Fund	Kotak Multi Asset Allocator Fund of Fund - Dynamic		Lump sum	1 to MAX	FIXED	0	0.65	0.65	0.65	0.55	0.55	NO			
				Systematic	1 to MAX	FIXED	0	0.65	0.65	0.65	0.55	0.55	NO			
FOF	FOF	Kotak Silver ETF Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
				Systematic	1 to MAX	FIXED	0	0.4	0.4	0.4	0.4	0.4	NO			
FOF	Fund of Fund	Kotak Global Emerging Fund		Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
				Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
FOF		Kotak Gold Fund		Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
					Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO		
FOF	FOF	Kotak NASDAQ 100 Fund of Fund		Lump sum	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
				Systematic	1 to MAX	FIXED	0	0.35	0.35	0.35	0.35	0.35	NO			
FOF	Debt	Kotak Income Plus Arbitrage FOF		Lump sum	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			
				Systematic	1 to MAX	FIXED	0	0.2	0.2	0.2	0.2	0.2	NO			

<b>TERMS AND CONDITIONS:</b>
<b>I. GENERAL</b>
i. Brokerage Structures are subject to the terms of empanelment and applicable laws and regulations, including SEBI (Mutual Fund) Regulations, AMFI Regulations, laws relating to GST, Income Tax, etc. Distribution commission paid to the distributor is inclusive of GST, as applicable. The distributor shall discharge his obligations, as applicable under the GST law.
ii. All Trail Brokerages will be calculated for every calendar month and will be paid out in the following month.
iii. This brokerage structure is applicable till further notice and is subject to changes at the discretion of AMC/ Trustee.
iv. In case of any regulatory changes with respect to expense ratio, the past / present brokerage structure may be reviewed by the AMC.
v. The load structures may change at the discretion of AMC / Trustee. Please refer to the latest applicable load structure in the Offer Document(s) / Addenda to the Offer Document(s).
vi. Switches will be eligible for brokerage trail as per the distributor's applicable brokerage in the scheme but subject to applicable clawback of the switch out scheme. Option changes within the same scheme are not treated as Intra switches
vii. T-30 refers to the Top 30 cities provided by AMFI & B30 cities, refers to all the cities beyond the Top 30 Cities. Any Investments from cities / Pincodes categories provided by AMFI will be eligible for brokerage payment as per T30 brokerage structure. In addition to this, NRI investments will be considered as T30 investments for the purpose of brokerage payment.
viii. SIP / STP registered WEF April 01, 2019 onwards will be on Trigger basis and not on registration basis.
ix. In the event of the clawback brokerage being higher than that month's brokerage payable, the broker shall refund the same.
x. The AMC reserves the right to suspend the brokerage payable, if brought to our notice that higher brokerage is offered to sub-brokers or you have violated the code of conduct and/or rules/regulations laid down by SEBI and AMFI.
xi. Any Events / Training / Activities / Seminar etc. planned with your employees / clients / sub brokers etc. shall be conducted with the written consent of Regional Heads / Head of Sales prior to such activities.
xii. All communication with regards to rates changes/modifications would be formally informed to you through kotakadvisorh@kotak.com or donotreply@camsonline.com e- mail id only. Any other mode of communication via verbal or email from any individual representative should not be considered bonafide. Brokerage changes will be incorporated after consent from Regional Heads, Head of Sales
xiii. As per SEBI's letter no. SEBI/H0/IMD/IMD-SEC-3/P/OW/2023/5823/1 dated February 24, 2023 to AMFI, WEF March 01, 2023 B30 Structure is put in abeyance.

# Mirae Asset Mutual Fund



**Brokerage Structure for Schemes under Regular Plan of Mirae Asset Mutual Fund for HONGKONG And SHANGHAI BANKING CORPORATION LTD.**  
(ARN-0022)

**Period : 01-Oct-2024 TO 31-Dec-2024**

(For Load Structure please refer to the latest SID/SAI on our website [www.miraeassetmf.co.in](http://www.miraeassetmf.co.in))

Asset Class	Scheme name	SIP Trail Fees	Non-SIP Trail Fees (Per Annum) Paid on Average Per Month			
			1st year	2nd year	3rd year	4th year & Onwards
Debt	Mirae Asset Banking and PSU Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Debt	Mirae Asset Corporate Bond Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Debt	Mirae Asset CRISIL IBX Gilt Index – April 2033 Index Fund	00.30 %	00.30 %	00.30 %	00.30 %	00.30 %
Debt	Mirae Asset Dynamic Bond Fund	00.75 %	00.75 %	00.75 %	00.75 %	00.75 %
Debt	Mirae Asset Liquid Fund	00.10 %	00.10 %	00.10 %	00.10 %	00.10 %
Debt	Mirae Asset Long Duration Fund	00.60 %	00.60 %	00.60 %	00.60 %	00.60 %
Debt	Mirae Asset Low Duration Fund	00.65 %	00.65 %	00.65 %	00.65 %	00.65 %
Debt	Mirae Asset Money Market Fund	00.35 %	00.35 %	00.35 %	00.35 %	00.35 %
Debt	Mirae Asset Nifty AAA PSU Bond Plus SDL Apr 2026 50:50 Index Fund	00.25 %	00.25 %	00.25 %	00.25 %	00.25 %
Debt	Mirae Asset Nifty SDL Jun 2027 Index Fund	00.24 %	00.24 %	00.24 %	00.24 %	00.24 %
Debt	Mirae Asset Nifty SDL June 2028 Index Fund	00.35 %	00.35 %	00.35 %	00.35 %	00.35 %
Debt	Mirae Asset Overnight Fund	00.10 %	00.10 %	00.10 %	00.10 %	00.10 %
Debt	Mirae Asset Short Duration Fund	00.85 %	00.85 %	00.85 %	00.85 %	00.85 %
Debt	Mirae Asset Ultra Short Duration Fund	00.20 %	00.20 %	00.20 %	00.20 %	00.20 %
Equity	Mirae Asset Banking and Financial Services Fund	01.35 %	01.35 %	01.35 %	01.35 %	01.35 %
Equity	Mirae Asset ELSS Tax Saver Fund	00.85 %	00.85 %	00.85 %	00.85 %	00.85 %
Equity	Mirae Asset Flexi Cap Fund	01.35 %	01.35 %	01.35 %	01.35 %	01.25 %
Equity	Mirae Asset Focused Fund	01.00 %	01.00 %	01.00 %	01.00 %	01.00 %
Equity	Mirae Asset Great Consumer Fund	01.35 %	01.35 %	01.35 %	01.35 %	01.35 %
Equity	Mirae Asset Healthcare Fund	01.35 %	01.35 %	01.35 %	01.35 %	01.35 %
Equity	Mirae Asset Large & Midcap Fund	00.85 %	00.85 %	00.85 %	00.85 %	00.85 %
Equity	Mirae Asset Large Cap Fund	00.90 %	00.90 %	00.90 %	00.90 %	00.90 %
Equity	Mirae Asset Midcap fund	00.95 %	00.95 %	00.95 %	00.95 %	00.95 %
Equity	Mirae Asset Multicap Fund	01.25 %	01.25 %	01.25 %	01.25 %	01.15 %
Equity	Mirae Asset Nifty 50 Index Fund	00.80 %	00.80 %	00.80 %	00.80 %	00.80 %
Equity	Mirae Asset Nifty LargeMidcap 250 Index Fund	00.80 %	00.80 %	00.80 %	00.80 %	00.80 %
Equity	Mirae Asset Nifty Total Market Index Fund	00.80 %	00.80 %	00.80 %	00.80 %	00.80 %
Equity FOF	Mirae Asset Equity Allocator Fund of Fund	00.05 %	00.05 %	00.05 %	00.05 %	00.05 %
Equity FOF	Mirae Asset Global Electric & Autonomous Vehicles ETFs Fund of Fund	00.30 %	00.30 %	00.30 %	00.30 %	00.30 %
Equity FOF	Mirae Asset Global X Artificial Intelligence & Technology ETF Fund of Fund	00.15 %	00.15 %	00.15 %	00.15 %	00.15 %
Equity FOF	Mirae Asset Gold ETF Fund of Fund	00.50 %	00.50 %	00.50 %	00.50 %	00.50 %
Equity FOF	Mirae Asset Hang Seng TECH ETF Fund of Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Equity FOF	Mirae Asset Nifty 100 ESG Sector Leaders Fund of Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Equity FOF	Mirae Asset Nifty India Manufacturing ETF Fund of Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %



Equity FOF	Mirae Asset Nifty MidSmallcap400 Momentum Quality 100 ETF Fund of Fund	00.60 %	00.60 %	00.60 %	00.60 %	00.60 %
Equity FOF	Mirae Asset Nifty Smallcap 250 Momentum Quality 100 ETF Fund of Fund	00.60 %	00.60 %	00.60 %	00.60 %	00.60 %
Equity FOF	Mirae Asset Nifty200 Alpha 30 ETF Fund of Fund	00.60 %	00.60 %	00.60 %	00.60 %	00.60 %
Equity FOF	Mirae Asset NYSE FANG+ ETF Fund of Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Equity FOF	Mirae Asset S&P 500 Top 50 ETF Fund of Fund	00.45 %	00.45 %	00.45 %	00.45 %	00.45 %
Hybrid	Mirae Asset Aggressive Hybrid Fund	01.20 %	01.20 %	01.20 %	01.20 %	01.20 %
Hybrid	Mirae Asset Arbitrage Fund	00.80 %	00.80 %	00.80 %	00.80 %	00.80 %
Hybrid	Mirae Asset Balanced Advantage Fund	01.40 %	01.40 %	01.40 %	01.40 %	01.25 %
Hybrid	Mirae Asset Equity Savings Fund	01.05 %	01.05 %	01.05 %	01.05 %	01.05 %
Hybrid	Mirae Asset Multi Asset Allocation Fund	01.45 %	01.45 %	01.45 %	01.45 %	01.30 %

#### Terms and Conditions:

1. Brokerage for Mirae Asset Long Duration fund is applicable w.e.f. 9th Dec 2024.
2. For SIPs/STPs registered w.e.f. 01 April 2019 the brokerage rate will be on the basis of transaction installment date.
3. Annualized commission including Trail Commission will be computed on monthly average AUM and paid monthly.
4. GST on brokerage commission is payable by distributor as service provider. We pay commission on gross and inclusive of all statutory levies including GST (as amended from time to time)
5. For invoice generation and reporting process, please visit [https://mfs.kfintech.com/mfs/distributor/distributor\\_login.aspx](https://mfs.kfintech.com/mfs/distributor/distributor_login.aspx)
6. Switch from Regular Plan to Direct Plan and vice versa shall be subject to exit load, if any.
7. The AMC reserves the right to change the entire/part brokerage structure at any time, without prior notice. The above commission structure supersedes all the previous commission structure(s) which were communicated prior to this date for this period if any.
8. For latest load structure and Scheme Information Document(s) of respective schemes, Statement of Additional Information and Addendum's issued from time to time, please refer to our website [www.miraeassetmf.co.in](http://www.miraeassetmf.co.in).
9. In accordance with the clause 4(d) of SEBI Circular No. SEBI/ IMD/ CIR No. 4/168230/09 dated June 30, 2009, the distributors shall disclose all commissions (in the form of trail commission or any other mode) payable to them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to the investor. Distributors are advised to ensure compliance of the same.

THE DISTRIBUTOR AGREES THAT SOURCING OF FUNDS FOR MIRAE ASSET MUTUAL FUND SCHEME(S) AFTER RECEIPT OF THIS BROKERAGE STRUCTURE INCLUDING THE ABOVE REFERRED TERMS AND CONDITIONS SHALL BE CONSTRUED AS AN AGREEMENT OF HIS/HER/ITS ACCEPTANCE TO THE TERMS AND CONDITIONS.

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**

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# Nippon Mutual Fund

Data Classification: Internal Use Only

Brokerage structure for the month of Jan'25						
(Lumpsum & SIP Investments)						
Proposed Category	Scheme_name	Exit_Load	1st Yr. Trail (p.a)	2nd to 3rd Yr. Trail (p.a)	4th to 5th Yr. Trail (p.a)	6th yr onwards Trail (p.a)
Equity						
Focussed Fund - Multi Cap	NIPPON INDIA FOCUSED EQUITY FUND	12 Months	1.10%	1.10%	1.00%	0.90%
Large & MID Cap	NIPPON INDIA VISION FUND	12 Months	1.15%	1.15%	1.05%	0.95%
Large Cap	NIPPON INDIA LARGE CAP FUND	7 days	1.00%	1.00%	0.90%	0.80%
Mid Cap	NIPPON INDIA GROWTH FUND	1 Month	1.00%	1.00%	0.90%	0.80%
Multi Cap	NIPPON INDIA MULTI CAP FUND	12 Months	0.95%	0.95%	0.85%	0.75%
Sectoral	NIPPON INDIA PHARMA FUND	1 Month	1.15%	1.15%	1.05%	0.95%
Sectoral	NIPPON INDIA BANKING & FINANCIAL SERVICES FUND	1 Month	1.15%	1.15%	1.05%	0.95%
Small Cap	NIPPON INDIA SMALL CAP FUND	12 Months	0.60%	0.60%	0.60%	0.60%
Thematic	NIPPON INDIA QUANT FUND	1 Month	0.50%	0.50%	0.40%	0.30%
Thematic	NIPPON INDIA CONSUMPTION FUND	1 Month	1.30%	1.30%	1.20%	1.10%
Thematic	NIPPON INDIA - US EQUITY OPPORTUNITES FUND	12 Months	1.25%	1.25%	1.15%	1.05%
Thematic	NIPPON INDIA POWER & INFRA FUND	1 Month	1.20%	1.20%	0.90%	0.80%
Thematic	NIPPON INDIA TAIWAN EQUITY FUND	12 Months	1.35%	1.35%	1.25%	1.15%
Thematic	NIPPON INDIA JAPAN EQUITY FUND	12 Months	1.25%	1.25%	1.15%	1.05%
Thematic	NIPPON INDIA INNOVATION FUND	12 Months	1.30%	1.30%	1.20%	1.10%
Value Fund	NIPPON INDIA VALUE FUND	12 Months	1.15%	1.15%	1.05%	0.95%
Flexi Cap	NIPPON INDIA FLEXI CAP FUND	12 Months	1.10%	1.10%	1.00%	0.90%
Hybrid, Bond & Equity Savings						
Aggressive Hybrid	NIPPON INDIA EQUITY HYBRID FUND	12 Months	1.20%	1.20%	1.10%	1.00%
Multi Asset	NIPPON INDIA Multi Asset FUND	12 Months	0.95%	0.95%	0.85%	0.75%
Balanced Advantage	NIPPON INDIA BALANCED ADVANTAGE FUND	12 Months	1.10%	1.10%	1.00%	0.90%
Conservative Hybrid	NIPPON INDIA HYBRID BOND FUND	12 Months	1.20%	1.20%	1.10%	1.10%
Equity Savings	NIPPON INDIA EQUITY SAVINGS FUND	12 Months	1.00%	1.00%	0.90%	0.80%
Goal Based						
ELSS	NIPPON INDIA TAX SAVER (ELSS) FUND	3 yr lock in	0.95%	0.95%	0.85%	0.75%
Retirement	NIPPON INDIA RETIREMENT FUND - WEALTH CREATION SCHEME	5 yr lock in	1.10%	1.10%	1.00%	0.90%
Retirement	NIPPON INDIA RETIREMENT FUND - INCOME GENERATION SCHEME	5 yr lock in	1.10%	1.10%	1.00%	0.90%
Index & FoF						
FOF	Nippon India Nifty Next 50 Junior BeES FoF	NIL	0.17%	0.17%	0.17%	0.17%
FOF	NIPPON INDIA ASSET ALLOCATOR FoF	12 Months	0.80%	0.80%	0.75%	0.70%
FOF	NIPPON INDIA GOLD SAVINGS FUND	15 Days	0.25%	0.25%	0.25%	0.25%
FOF (Gold & Silver)	NIPPON INDIA SILVER ETF FOF	15 Days	0.30%	0.30%	0.30%	0.30%
FOF	NIPPON INDIA PASSIVE FLEXICAP FoF	NIL	0.35%	0.35%	0.35%	0.35%
Index	NIPPON INDIA NIFTY ALPHA LOW VOLATILITY 30 INDEX FUND	NIL	0.55%	0.55%	0.55%	0.55%
Index	NIPPON INDIA NIFTY SMALL CAP 250 INDEX FUND	NIL	0.65%	0.65%	0.65%	0.65%
Index	NIPPON INDIA NIFTY 50 VALUE 20 INDEX FUND	NIL	0.55%	0.55%	0.55%	0.55%
Index	NIPPON INDIA NIFTY MIDCAP 150 INDEX FUND	NIL	0.55%	0.55%	0.55%	0.55%
Index	Nippon India Index Fund - Nifty 50 Plan	7 days	0.25%	0.25%	0.25%	0.25%
Index	Nippon India Index Fund - S&P BSE Sensex Plan	7 days	0.30%	0.30%	0.30%	0.30%
Index	NIPPON INDIA NIFTY AAA CPSE BOND PLUS SDL - APR27	NIL	0.15%	0.15%	0.15%	0.15%
Index	NIPPON INDIA NIFTY AAA PSU BOND PLUS SDL - Sep 2026 Maturity Index Fund	NIL	0.20%	0.20%	0.20%	0.20%
Index	NIPON INDIA Nifty 11 SDL PLUS G-Sec = Jun 2026 Maturity Index Fund	NIL	0.22%	0.22%	0.22%	0.22%
Index	NIPON INDIA Nifty 11 G-Sec = Sep 2027 MATURITY INDEX FUND	NIL	0.20%	0.20%	0.20%	0.20%
Index	NIPON INDIA Nifty 11 G-Sec = Jun 2026 MATURITY INDEX FUND	NIL	0.20%	0.20%	0.20%	0.20%
Index	Nippon India Nifty SDL Plus G-Sec = Jun 2027 Maturity 7030 Index Fund	NIL	0.20%	0.20%	0.20%	0.20%
Index	Nippon India Nifty G-Sec Oct 2028 Maturity Index Fund	NIL	0.20%	0.20%	0.20%	0.20%
Index	Nippon India Nifty IT Index Fund	NIL	0.60%	0.60%	0.60%	0.60%
Index	Nippon India Nifty Bank Index Fund	NIL	0.60%	0.60%	0.60%	0.60%

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Index	Nippon India Nifty 500 Equal Weight Index Fund	NIL	0.65%	0.65%	0.65%	0.65%
Index	Nippon India Nifty 500 Momentum 50 Index Fund	NIL	0.60%	0.60%	0.60%	0.60%
Index	Nippon India CRISIL - IBX AAA Financial Services - Dec 2020 Index Fund	NIL	0.25%	0.25%	0.25%	0.25%
Index	Nippon India CRISIL - IBX AAA Financial Services - Jan 2020 Index Fund	NIL	0.25%	0.25%	0.25%	0.25%
Index	NIPPON INDIA NIFTY AUTO INDEX FUND	NIL	0.50%	0.50%	0.50%	0.50%
Index	NIPPON INDIA NIFTY REALTY INDEX FUND	NIL	0.50%	0.50%	0.50%	0.50%
Debt						
Banking & PSU	NIPPON INDIA BANKING & PSU DEBT FUND	NIL	0.45%	0.45%	0.40%	0.40%
Corporate Bond	NIPPON INDIA Corporate Bond FUND	NIL	0.40%	0.40%	0.35%	0.35%
Credit Risk	NIPPON INDIA CREDIT RISK FUND	12 Months	1.00%	1.00%	0.90%	0.90%
Dynamic Bond	NIPPON INDIA DYNAMIC BOND FUND	NIL	0.40%	0.40%	0.35%	0.35%
Floater	NIPPON INDIA FLOATING RATE FUND	1 Month	0.35%	0.35%	0.30%	0.30%
Gilt	NIPPON INDIA GILT SECURITIES FUND	NIL	0.90%	0.90%	0.85%	0.85%
Long Duration	NIPPON INDIA NIVESH LAKSHYA FUND	1 Month	0.35%	0.35%	0.30%	0.30%
Low Duration Fund	NIPPON INDIA LOW DURATION FUND	NIL	0.60%	0.60%	0.55%	0.55%
Medium & Long Duration	NIPPON INDIA INCOME FUND	NIL	1.05%	1.05%	0.95%	0.95%
Medium Duration	NIPPON INDIA STRATEGIC DEBT FUND	12 Months	0.65%	0.65%	0.60%	0.60%
Short Term	NIPPON INDIA SHORT TERM FUND	NIL	0.65%	0.65%	0.60%	0.60%
Arbitrage						
Arbitrage	NIPPON INDIA ARBITRAGE FUND	1 month	0.60%	0.60%	0.55%	0.50%
Liquid and Money Market						
Liquid Fund	NIPPON INDIA OVERNIGHT FUND	NIL	0.08%	0.08%	0.07%	0.07%
Liquid Fund	NIPPON INDIA LIQUID FUND	7 days	0.12%	0.10%	0.10%	0.10%
Money Market	NIPPON INDIA MONEY MARKET FUND	NIL	0.10%	0.10%	0.10%	0.10%
Ultra Short Duration	NIPPON INDIA ULTRA SHORT DURATION FUND	NIL	0.75%	0.75%	0.70%	0.70%

In case of any regulatory change or Management decision with respect to expense ratio or reduction in TER due to increase in scheme size the brokerage structure will be tweaked accordingly from the date of change on all assets including SIPs/STPs

# Parag Parikh Mutual Fund

Dear Partner,

Please note that the Current Gross Trail Commission structure in Parag Parikh Flexi Cap Fund, Parag Parikh ELSS Tax Saver Fund, Parag Parikh Liquid Fund, Parag Parikh Conservative Hybrid Fund, Parag Parikh Arbitrage Fund and Parag Parikh Dynamic Asset Allocation Fund is given below:

- Trail commission structure is applied to all Distributors, irrespective of the volume of business. We have a 'One rate for all' approach.
- 'Fixed' Trail Commission# is applicable for assets procured from both T-30 & B-30 cities.
- As per AMFI Guideline No: 35P/ MEM-COR/ 85-a / 2022-23, we have stopped accruing B30 commission in respect of new inflows received from B-30 locations on or after March 01, 2023.
- Upfront Commission: NIL.
- Applicable all transactions (SIPs & Lumpsum).
- Our commission structure payout frequency is monthly.
- This commission structure is for Regular Plan only.

## Current Commission Structure

Schemes	Trail Commission
Parag Parikh Flexi Cap Fund	0.70% p.a.
Parag Parikh ELSS Tax Saver Fund	1.10% p.a.
Parag Parikh Liquid Fund	0.10% p.a.
Parag Parikh Conservative Hybrid Fund	0.30% p.a.
Parag Parikh Arbitrage Fund	0.35% p.a.
Parag Parikh Dynamic Asset Allocation Fund	0.30% p.a.

Commission payout is inclusive of all statutory levies.

Please contact our distributor helpline: 1800-266-8909 or email us at [partners@ppfas.com](mailto:partners@ppfas.com) in case you have any queries in this respect.

Please [contact us](#) in case you have any queries in this regard.

*# PPFAS Mutual Fund is using the word 'fixed' in order to distinguish it from the 'variable' commission payable on 'B-30' transactions. Hence, 'fixed' does not allude / refer to a perpetual 'unchanging percentage' of commission. It only means that the quantum of commission - in percentage points - is declared in advance. Hence, this 'fixed' component may vary (and has varied) owing to internal as well as regulatory reasons. Whenever such a change occurs, it affects the entire stock - either positively or negatively - of a Distributor's assets within a specific scheme (Say, Parag Parikh Flexi Cap Fund).*



## Brokerage Structure

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Distributor Code :	ARN-0022		Distributor Name :		HSBC - ONLINE TRADING			
Scheme Name		From Date	To Date	Trail 1st Year	Trail 2nd Year	Trail 3rd Year	Trail 4th Year	*B30 Spl Trail**
SBI LONG TERM EQUITY FUND		01-JAN-25	31-MAR-25	0.98	0.98	0.98	0.98	0
SBI FOCUSED EQUITY FUND		01-JAN-25	31-MAR-25	0.98	0.98	0.98	0.98	0
SBI BLUE CHIP FUND		01-JAN-25	31-MAR-25	0.90	0.90	0.90	0.90	0
SBI EQUITY MINIMUM VARIANCE FUND		01-JAN-25	31-MAR-25	0.40	0.40	0.40	0.40	0
SBI LARGE AND MIDCAP FUND		01-JAN-25	31-MAR-25	1.01	1.01	1.01	1.01	0
SBI MAGNUM MIDCAP FUND		01-JAN-25	31-MAR-25	1.05	1.05	1.05	1.05	0
SBI SMALLCAP FUND		01-JAN-25	31-MAR-25	0.96	0.96	0.96	0.96	0
SBI FLEXICAP FUND		01-JAN-25	31-MAR-25	1.04	1.04	1.04	1.04	0
SBI MULTICAP FUND		01-JAN-25	31-MAR-25	1.06	1.06	1.06	1.06	0
SBI EQUITY HYBRID FUND		01-JAN-25	31-MAR-25	0.85	0.85	0.85	0.85	0
SBI BALANCED ADVANTAGE FUND		01-JAN-25	31-MAR-25	0.99	0.99	0.99	0.99	0
SBI CONTRA FUND		01-JAN-25	31-MAR-25	0.95	0.95	0.95	0.95	0
SBI INFRASTRUCTURE FUND		01-JAN-25	31-MAR-25	1.15	1.15	1.15	1.15	0
SBI PSU FUND		01-JAN-25	31-MAR-25	1.21	1.21	1.21	1.21	0
SBI BANKING AND FINANCIAL SERVICES FUND		01-JAN-25	31-MAR-25	1.16	1.16	1.16	1.16	0
SBI TECHNOLOGY OPPORTUNITIES FUND		01-JAN-25	31-MAR-25	1.21	1.21	1.21	1.21	0
SBI HEALTHCARE OPPORTUNITIES FUND		01-JAN-25	31-MAR-25	1.24	1.24	1.24	1.24	0
SBI CONSUMPTION OPPORTUNITIES FUND		01-JAN-25	31-MAR-25	1.26	1.26	1.26	1.26	0
SBI MAGNUM EQUITY ESG FUND		01-JAN-25	31-MAR-25	1.18	1.18	1.18	1.18	0
SBI MAGNUM GLOBAL FUND		01-JAN-25	31-MAR-25	1.16	1.16	1.16	1.16	0
SBI MAGNUM COMMA FUND		01-JAN-25	31-MAR-25	1.55	1.55	1.55	1.55	0
SBI EQUITY SAVINGS FUND		01-JAN-25	31-MAR-25	0.73	0.73	0.73	0.73	0
SBI MAGNUM CHILDREN'S BENEFIT FUND- INVESTMENT PLAN		01-JAN-25	31-MAR-25	1.15	1.15	1.15	1.15	0
SBI MAGNUM CHILDRENS BENEFIT FUND		01-JAN-25	31-MAR-25	0.63	0.63	0.63	0.63	0
SBI RETIREMENT BENEFIT FUND – AGGRESSIVE PLAN		01-JAN-25	31-MAR-25	1.25	1.25	1.25	1.25	0
SBI RETIREMENT BENEFIT FUND - AGGRESSIVE HYBRID PLAN		01-JAN-25	31-MAR-25	1.34	1.34	1.34	1.34	0
SBI RETIREMENT BENEFIT FUND - CONSERVATIVE HYBRID PLAN		01-JAN-25	31-MAR-25	0.97	0.97	0.97	0.97	0
SBI RETIREMENT BENEFIT FUND - CONSERVATIVE PLAN		01-JAN-25	31-MAR-25	0.79	0.79	0.79	0.79	0
SBI INTERNATIONAL ACCESS – US EQUITY FOF		01-JAN-25	31-MAR-25	0.95	0.95	0.95	0.95	0
SBI NIFTY INDEX FUND		01-JAN-25	31-MAR-25	0.20	0.20	0.20	0.20	0
SBI NIFTY NEXT 50 INDEX FUND		01-JAN-25	31-MAR-25	0.45	0.45	0.45	0.45	0
SBI ARBITRAGE OPPORTUNITIES FUND		01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI GOLD FUND		01-JAN-25	31-MAR-25	0.16	0.16	0.16	0.16	0
SBI DEBT HYBRID FUND		01-JAN-25	31-MAR-25	0.64	0.64	0.64	0.64	0
SBI MULTI ASSET ALLOCATION FUND		01-JAN-25	31-MAR-25	0.92	0.92	0.92	0.92	0
SBI MAGNUM INCOME FUND		01-JAN-25	31-MAR-25	0.86	0.86	0.86	0.86	0
SBI DYNAMIC BOND FUND		01-JAN-25	31-MAR-25	0.85	0.85	0.85	0.85	0

SBI CREDIT RISK FUND	01-JAN-25	31-MAR-25	0.91	0.91	0.91	0.91	0
SBI CORPORATE BOND FUND	01-JAN-25	31-MAR-25	0.42	0.42	0.42	0.42	0
SBI MAGNUM CONSTANT MATURITY FUND	01-JAN-25	31-MAR-25	0.34	0.34	0.34	0.34	0
SBI MAGNUM GILT FUND	01-JAN-25	31-MAR-25	0.53	0.53	0.53	0.53	0
SBI MAGNUM MEDIUM DURATION FUND	01-JAN-25	31-MAR-25	0.70	0.70	0.70	0.70	0
SBI CPSE BOND PLUS SDL SEP 2026 50:50 INDEX FUND	01-JAN-25	31-MAR-25	0.15	0.15	0.15	0.15	0
SBI BANKING AND PSU FUND	01-JAN-25	31-MAR-25	0.45	0.45	0.45	0.45	0
SBI FLOATING RATE DEBT FUND	01-JAN-25	31-MAR-25	0.19	0.19	0.19	0.19	0
SBI SHORT TERM DEBT FUND	01-JAN-25	31-MAR-25	0.48	0.48	0.48	0.48	0
SBI SAVINGS FUND	01-JAN-25	31-MAR-25	0.45	0.45	0.45	0.45	0
SBI MAGNUM LOW DURATION FUND	01-JAN-25	31-MAR-25	0.70	0.70	0.70	0.70	0
SBI MAGNUM ULTRA SHORT DURATION FUND	01-JAN-25	31-MAR-25	0.25	0.25	0.25	0.25	0
SBI NIFTY MIDCAP 150 INDEX FUND	01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI NIFTY SMALLCAP 250 INDEX FUND	01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI CRISIL IBX GILT INDEX- JUNE 2036 FUND	01-JAN-25	31-MAR-25	0.23	0.23	0.23	0.23	0
SBI CRISIL IBX GILT INDEX- APRIL 2029 FUND	01-JAN-25	31-MAR-25	0.22	0.22	0.22	0.22	0
SBI CRISIL IBX SDL INDEX-SEPT 2027 FUND	01-JAN-25	31-MAR-25	0.18	0.18	0.18	0.18	0
SBI LONG DURATION FUND	01-JAN-25	31-MAR-25	0.36	0.36	0.36	0.36	0
SBI DIVIDEND YIELD FUND	01-JAN-25	31-MAR-25	1.12	1.12	1.12	1.12	0
SBI S&P BSE SENSEX INDEX FUND	01-JAN-25	31-MAR-25	0.20	0.20	0.20	0.20	0
SBI NIFTY50 EQUAL WEIGHT INDEX FUND	01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI ENERGY OPPORTUNITIES FUND	01-JAN-25	31-MAR-25	1.10	1.10	1.10	1.10	0
SBI AUTOMOTIVE OPPORTUNITIES FUND	01-JAN-25	31-MAR-25	1.16	1.16	1.16	1.16	0
SBI SILVER ETF FUND OF FUND	01-JAN-25	31-MAR-25	0.35	0.35	0.35	0.35	0
SBI INNOVATIVE OPPORTUNITIES FUND	01-JAN-25	31-MAR-25	1.15	1.15	1.15	1.15	0
SBI NIFTY 500 INDEX FUND	01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI NIFTY INDIA CONSUMPTION INDEX FUND	01-JAN-25	31-MAR-25	0.55	0.55	0.55	0.55	0
SBI QUANT FUND	01-JAN-25	31-MAR-25	1.25	1.25	1.25	1.25	0
SBI LIQUID FUND	01-JAN-25	31-MAR-25	0.10	0.10	0.10	0.10	0
SBI OVERNIGHT FUND	01-JAN-25	31-MAR-25	0.06	0.06	0.06	0.06	0

Terms & Conditions	
1	The above Structure is valid from 01-JAN-25 till 31-MAR-25.
2	Only AMFI registered distributors empanelled with SBI Funds Management Limited are eligible for above Brokerage Structure.
3	Only the valid application form under <b>Regular Plan</b> with ARN number mentioned in the broker code cell will be considered for above Brokerage Structure.
4	The above structure is applicable for Lumpsum and SIP/STP.
5	All distributors should abide by the code of conduct and rules/regulations laid down by SEBI & AMFI. The AMC will take disciplinary action against any distributor who is found violating these regulations / code of conduct.
6	T30 and B30 Locations are as per AMFI guidelines and list of TOP 30 locations undergo change from time to time based on the AMFI/SEBI guidelines.
7	In case of any regulatory change or Management decision with respect to expense ratio or reduction in TER due to increase in scheme size, the Brokerage Structure will be tweaked accordingly from the date of change.
8	SBI Funds Management Limited reserves the right to change /modify/discontinue/ withhold the rates and slabs mentioned at its sole discretion without any prior intimation or notification or in case of Regulatory Changes / Change in Industry practices in respect to payment of brokerages.
9	The Brokerage rates mentioned above are inclusive of Goods & Services Tax (GST) and other relevant statutory/ regulatory levies as applicable Invoice in the name of SBI Mutual Fund and GST no. is 27AABTS6407Q1ZW Address : 9th Floor, Crescenzo, C-38 & 39, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051. State Code-27.
10	Mutual Fund Investments are subject to market risks. Please read offer document / SID carefully before investing. For scheme specific risk factors please refer to the respective offer documents. Please refer OD / SID / KIM / FactSheet / Addendums for updated details.

# TATA Mutual Fund



Brokerage Structure		
Applicable from 1st January 2025 to 31st March 2025		
Scheme Name	Trail 1st Year	Trail 2nd Year Onwards
<b>Solutions</b>		
Tata Retirement Savings Fund -PP	1.40%	1.40%
Tata Retirement Savings Fund -MP	1.25%	1.25%
Tata Retirement Savings Fund -CP	1.20%	1.20%
Tata Young Citizens Fund	1.20%	1.20%
<b>ELSS</b>		
Tata India Tax Savings Fund	1.25%	1.25%
<b>Equity Funds</b>		
Tata Small Cap Fund	1.10%	1.10%
Tata Mid Cap Growth Fund	1.20%	1.20%
Tata Ethical Fund	1.20%	1.20%
Tata FlexiCap Fund	1.15%	1.15%
Tata Equity P/E Fund	1.35%	1.35%
Tata Large & Mid Cap Fund	1.35%	1.35%
Tata Large Cap Fund	0.85%	0.85%
Tata Hybrid Equity Fund	1.10%	1.10%
Tata Balanced Advantage Fund	1.10%	1.10%
Tata Focused Equity Fund	0.95%	0.95%
Tata Quant Fund	1.00%	1.00%
Tata Multiasset Opportunities Fund	0.95%	0.95%
Tata Dividend Yield Fund	1.50%	1.50%
Tata Business Cycle Fund	1.30%	0.80%
Tata Housing Opportunities Fund	1.50%	1.50%
Tata Multicap Fund	1.25%	1.10%
Tata Equity Savings Fund	0.55%	0.55%
Tata Banking & Financial Services Fund	1.15%	1.15%
Tata Digital India Fund	1.05%	1.05%
Tata India Consumer Fund	1.05%	1.05%
Tata India Pharma & Health Care Fund	1.20%	1.20%
Tata Resources & Energy Fund	1.20%	1.20%
Tata Infrastructure Fund	1.20%	1.20%
Tata India Innovation Fund	1.40%	1.25%
<b>Arbitrage Fund</b>		
Tata Arbitrage Fund	0.65%	0.65%
<b>Index Funds</b>		
TATA Index Fund - NIFTY A	0.30%	0.30%
TATA Index Fund - SENSEX A	0.30%	0.30%
Tata Nifty500 Multicap India Manufacturing 50:30:20 Index Fund	0.55%	0.55%
Tata Nifty500 Multicap Infrastructure 50:30:20 Index Fund	0.55%	0.55%
Tata Nifty Auto Index Fund	0.55%	0.55%
Tata Nifty Realty Index Fund	0.55%	0.55%
Tata Nifty Financial Services Index Fund	0.55%	0.55%
Tata Nifty MidSmall Healthcare Index Fund	0.55%	0.55%
Tata Nifty India Tourism Index Fund	0.70%	0.70%
Tata Nifty 200 Alpha 30 Index Fund	0.65%	0.65%
Tata Nifty Capital Market Index Fund	0.60%	0.60%
Tata Nifty Midcap 150 Momentum 50 Index Fund	0.60%	0.60%
Tata Nifty G-Sec Dec 2026 Index Fund	0.25%	0.25%
Tata Nifty G-Sec Dec 2029 Index Fund	0.25%	0.25%
Tata BSE Select Business Group Index Fund	0.65%	0.65%
<b>Exchange Traded Fund (ETF)</b>		
Tata Nifty India Digital ETF Fund of Fund	0.35%	0.35%
Tata Silver ETF Fund of Fund	0.40%	0.40%
Tata Gold ETF Fund of Fund	0.45%	0.45%
<b>Debt Funds</b>		
Tata Short Term Bond Fund	0.80%	0.80%
Tata Corporate Bond Fund	0.45%	0.35%
Tata Nifty SDL Plus AAA PSU Bond Dec 2027 60 40 Index Fund	0.15%	0.15%
Tata CRISIL-IBX GILT INDEX – APRIL 2026 INDEX FUND	0.20%	0.20%
<b>Gilt Funds</b>		
Tata Gilt Securities Fund	0.60%	0.60%
<b>Ultra Short Funds</b>		
Tata Treasury Advantage Fund	0.20%	0.20%
Tata Ultra Short Term Fund	0.75%	0.75%
Tata Overnight Fund	0.08%	0.08%
Tata Floating Rate Fund	0.45%	0.45%
<b>Liquid Funds</b>		
Tata Money Market Fund	0.15%	0.15%
Tata Liquid Fund	0.05%	0.05%
<b>Notes:</b>		
All trail rates are payable in apm mode. DOA stands for the Date of allotment.		
For Exit load structure etc please refer SID/KIM of various schemes.		
The above structure is applicable for any application amount (subject to Minimum application amount criteria of respective scheme).		
The above structure is subject to retrospective change basis the new TER slabs applicable from 1st April 2019. The current trail will also undergo changes basis TER applicability as per fund size.		
The above structure pertains to new business from 1st January/2025.		
The above structure is basis the current DTERs of the respective schemes. Since the TERs/DTERs are dependent on the AUM of the individual fund, TATA AMC reserves the rights to modify the rates retrospectively as well for the respective fund(s)		
The above structure is applicable for both Lump Sum as well as SIP/STP Transactions.		



Terms & Conditions
1. The proposed brokerage structure is applicable for regular plan only. NO brokerage / Commission (Trail) will be payable on Direct Plans
2. Trail : (a) First year trail commission is calculated from the date of the allotment till the end of the first year of investment or till the investor stays invested in the scheme, whichever is earlier and is calculated on pro-rata basis, by taking the average of net asset value during the period under consideration and on the outstanding units. (b) Second year trail commission is calculated from date of completion of one year from the date of allotment till the completion of 2nd year. It is calculated on pro-rata basis, by taking average of net asset value during the period under consideration. Second year trail commission as applicable to the scheme is paid either perpetually till the investor stays invested in the scheme or for the specific period mentioned in the commission structure applicable to the scheme.
3. The commission rates are inclusive of all taxes, levies, statutory dues and Goods & Services tax (GST). If any tax is required to be deducted at source, the same will be deducted from the payment of the distributors.
4. TATA AMC may change the rates/periodicity etc of commission in case of change in regulations/Load Structure/expense ratio and any other factors which have an impact on such payments. Such Change would be applicable for remaining SIP/STP installments also.
5. Please read the latest SID and addendums carefully to confirm the scheme details.
6. Vide SEBI circular dated November 28th, 2002 and AMFI's subsequent circulars, intermediaries are not entitled to commission/incentive on their own investment. Commission/ incentive is not payable for investment made by sponsor(s) of TATA AMC.
7. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Distributors are advised to ensure strict compliance of the same.
8. The objective of the above structure is to reward genuine investments canvassed by distributors. Any misuse of the commission/reward structure should be discouraged and commission of such distributors found involved in any malpractice may be withheld.
9. MFDs shall ensure compliance with SEBI Circular dated October 22, 2018 & AMFI letter to AMC dated March 02,2023 read with other extant SEBI and AMFI Circulars.
10. TATA AMC reserves the right to modify, withdraw or suspend the brokerage structure at any time.
11. MFDs shall ensure compliance with AMFI / SEBI code of Conduct at all points of time.



# UTI Mutual Fund

Private & Confidential



UTI Asset Management Company Limited  
Commission structure  
Validity Period: January 01, 2025 to March 31, 2025

Scheme	Classification	Exit Load	First Year Trail	2nd Year onwards Trail	Add 1st Yr Trail B30(P.A)
EQUITY SCHEMES					
UTI Flexi Cap Fund	Flexi Cap Fund	<1 Year - 1%	0.80	0.80	-
UTI Large Cap Fund	Large Cap Fund	<1 Year - 1%	0.95	0.95	-
UTI Value Fund	Value Fund	<1 Year - 1%	0.95	0.95	-
UTI Mid Cap Fund	Mid Cap Fund	<1 Year - 1%	0.95	0.95	-
UTI Dividend Yield Fund	Dividend Yield Fund	<1 Year - 1%	1.00	1.00	-
UTI MNC Fund	Sectoral/ Thematic	<1 Year - 1%	1.00	1.00	-
UTI Focused Fund	Focused Fund	<1 Year - 1%	1.20	1.20	-
UTI Small Cap Fund	Small Cap Fund	<1 Year - 1%	0.90	0.90	-
UTI ELSS Tax Saver Fund	ELSS	NIL	1.00	1.00	-
UTI Large &Mid Cap Fund	Large &Mid Cap Fund	<1 Year - 1%	1.10	1.10	-
UTI Infrastructure Fund	Sectoral/ Thematic	<29 Days - 1%	1.10	1.10	-
UTI Transportation &Logistics Fund	Sectoral/ Thematic	<29 Days - 1%	1.20	1.20	-
UTI Healthcare Fund	Sectoral/ Thematic	<29 Days - 1%	1.35	1.35	-
UTI Banking &Financial Services Fund	Sectoral/ Thematic	<29 Days - 1%	1.35	1.35	-
UTI India Consumer Fund	Sectoral/ Thematic	<29 Days - 1%	1.20	1.20	-
UTI Innovation Fund	Thematic Fund	<1 Year - 1%	1.30	1.30	-
HYBRID SCHEMES					
UTI Arbitrage Fund	Arbitrage Fund	<15 Days - 0.25%	0.35	0.35	-
UTI Unit Linked Insurance Plan	Dynamic Assest Allocation	Premature withdrawal - 2%	0.80	0.80	-
UTI Equity Savings Fund	Equity Savings	<30 Days - 1%	0.95	0.95	-
UTI Aggressive Hybrid Fund	Aggressive Hybrid Fund	<1 Year - 1%	0.90	0.90	-
UTI Conservative Hybrid Fund	Conservative Hybrid Fund	<1 Year - 1%	1.00	1.00	-
UTI Multi Asset Allocation Fund	Multi Asset Allocation	<30 Days - 1%	1.10	1.10	-
UTI Balanced Advantage Fund	Balance Advantage Fund	<1 Year - 1%	1.20	1.20	-
SOLUTION ORIENTED SCHEMES					
UTI Retirement Fund	Retirement Fund	<1 Year - 1%	0.80	0.80	-
UTI Childrens Hybrid Fund	Children's Fund	NIL	0.80	0.80	-
UTI Children's Equity Fund	Children's Fund	NIL	1.20	1.20	-
INDEX SCHEMES					
UTI Nifty 50 Index Fund	Index Funds	NIL	0.10	0.10	-
UTI BSE Sensex Index Fund	Index Funds	NIL	0.10	0.10	-
UTI Nifty200 Momentum 30 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty Next 50 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI BSE Low Volatility Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty Midcap 150 Quality 50 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty Alpha Low-Volatility 30 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty Midcap 150 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty200 Quality 30 Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty Private Bank Index Fund	Index Funds	NIL	0.40	0.40	-
UTI Nifty 500 Value 50 Index Fund	INDEX FUND	NIL	0.40	0.40	-
UTI NIFTY50 Equal Weight Index Fund	INDEX FUND	NIL	0.40	0.40	-
UTI BSE Housing Index Fund	INDEX FUND	NIL	0.40	0.40	-
DEBT SCHEMES					
UTI Banking &PSU Fund	Banking and PSU Fund	NIL	0.25	0.25	-
UTI Corporate Bond Fund	Corporate Bond Fund	NIL	0.25	0.25	-
UTI Gilt Fund	Gilt Fund	NIL	0.45	0.45	-
UTI Short Duration Fund	Short Duration Fund	NIL	0.45	0.45	-
UTI Medium to Long Duration Fund	Medium to Long Duration Fund	NIL	0.85	0.85	-
UTI Dynamic Bond Fund	Dynamic Bond	NIL	0.85	0.85	-
UTI Medium Duration Fund	Medium Duration Fund	Beyond 10% of allotted units - <1 Year - 1%	0.85	0.85	-
UTI Money Market Fund	Money Market Fund	NIL	0.05	0.05	-
UTI Low Duration Fund	Low Duration Fund	NIL	0.15	0.15	-
UTI Floater Fund	Floater Fund	NIL	0.35	0.35	-
UTI Ultra Short Duration Fund	Ultra Short Duration Fund	NIL	0.65	0.65	-
UTI Overnight Fund	Overnight Fund	NIL	0.05	0.05	-

UTI Liquid Fund	Liquid Fund	Within 1 day - 0.0070%, 2 day - 0.0065%, 3 day - 0.0060%, 4 day - 0.0055%, 5 day - 0.0050%, 6 day - 0.0045%	0.05	0.05	-
UTI Credit Risk Fund	Credit Risk Fund	Beyond 10% of allotted units - <1 Year - 1%	0.90	0.90	-
UTI Long Duration Fund	Long Duration Debt Fund	NIL	0.85	0.85	-
UTI Gilt Fund with 10 year Constant Duration	Gilt Fund	NIL	0.45	0.45	-
UTI Nifty SDL Plus AAA PSU Bond Apr 2028 75:25	Index Funds	NIL	0.25	0.25	-
UTI Nifty SDL Plus AAA PSU Bond Apr 2026 75:25	INDEX FUNDS	NIL	0.25	0.25	-
UTI CRISIL SDL Maturity June 2027 Index Fund	INDEX FUND	NIL	0.25	0.25	-
UTI CRISIL SDL Maturity April 2033 Index Fund	Index Funds	NIL	0.25	0.25	-
UTI Gold ETF Fund of Fund	Gold ETF	<15 Days - 1%	0.40	0.40	-
UTI Silver ETF Fund of Fund	Other ETF	<15 Days - 1%	0.35	0.35	-

**Terms &Conditions:**  
1. The above structure is valid from January 01, 2025 to March 31, 2025 and is inclusive of GST.  
2. T-30 refers to the Top 30 Cities provided by AMFI and B -30 refers to all the cities beyond the Top 30 Cities.  
3. UTI AMC may change the rates / periodicity etc. of commission/ trail commission in case of change in regulations / expense ratio and any other factors which have an impact on such payments.  
4. Commission will be paid on net amount (i.e., cheque amount – transaction charges) only.  
5. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/168230/09 dated June 30, 2009, the distributors should disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor. Please ensure compliance.

# WhiteOak Capital Mutual Fund



WhiteOak Capital Asset Management Limited Brokerage Structure 01-Jan-2025 to 31-Mar-2025						
Category	Scheme	Exit Load	1st Year % pa	2nd Year % pa	3rd Year % pa	4th Year onwards % pa
THEMATIC	WHITEOAK CAPITAL ESG BEST-IN-CLASS STRATEGY FUND (WESG)	1% before 1 month	1.80	1.80	1.80	1.70
THEMATIC	WHITEOAK CAPITAL DIGITAL BHARAT FUND (WDSG)	1% before 1 month	1.75	1.75	1.75	1.65
THEMATIC	WHITEOAK CAPITAL BANKING AND FINANCIAL SERVICES FUND (WBFS)	1% before 1 month	1.80	1.80	1.80	1.70
THEMATIC	WHITEOAK CAPITAL PHARMA AND HEALTHCARE FUND (WPHC)	1% before 1 month	1.80	1.80	1.80	1.70
THEMATIC	WHITEOAK CAPITAL SPECIAL OPPORTUNITIES FUND (WSOP)	1 % before 1 month	1.65	1.65	1.65	1.55
EQUITY	WHITEOAK CAPITAL ELSS TAX SAVER FUND (WTAX)	Lock in period of 3 years	1.75	1.75	1.75	1.65
EQUITY	WHITEOAK CAPITAL LARGE CAP FUND (WLCP)	1% before 1 month	1.70	1.70	1.70	1.60
EQUITY	WHITEOAK CAPITAL MULTI CAP FUND (WMLT)	1% before 1 month	1.45	1.45	1.45	1.35
EQUITY	WHITEOAK CAPITAL LARGE AND MID CAP (WOLM)	1% before 1 month	1.45	1.45	1.45	1.35
EQUITY	WHITEOAK CAPITAL FLEXI CAP FUND (YFCF)	1% before 1 month	1.25	1.25	1.25	1.15
EQUITY	WHITEOAK CAPITAL MID CAP FUND (WHCF)	1% before 1 month	1.25	1.25	1.25	1.15
HYBRID	WHITEOAK CAPITAL BALANCED ADVANTAGE FUND (WBAF)	1% before 1 month	1.45	1.45	1.45	1.35
HYBRID	WHITEOAK CAPITAL MULTI ASSET ALLOCATION FUND (WMAF)	1% before 1 month	1.40	1.40	1.40	1.30
HYBRID	WHITEOAK CAPITAL BALANCED HYBRID FUND (WBHF)	1% before 1 month	1.70	1.70	1.70	1.60
ARBITRAGE	WHITEOAK CAPITAL ARBITRAGE FUND (WABF)	0.25% up to 7 days & nil after	0.75	0.75	0.75	0.65
DEBT	WHITEOAK CAPITAL ULTRA SHORT TERM FUND (YUST)	NIL	0.55	0.55	0.55	0.55
LIQUID	WHITEOAK CAPITAL LIQUID FUND (YLF)	Refer Note 1	0.10	0.10	0.10	0.10

\*\* Investment accepted in WhiteOak Midcap fund via STP / SIP mode and for Lumpsum limit is Rs 1 Lakh per pan per day.

We look forward for your support

Regards,  
WhiteOak Capital Mutual Fund

Note 1 - Exit Load for WhiteOak Capital Liquid Fund							
Investor exit upon subscription* (in Days)	Day 1	Day 2	Day 3	Day 4	Day 5	Day 6	Day 7 onwards
Exit Loads as a % of redemption proceeds B	0.0070%	0.0060%	0.0060%	0.0050%	0.0050%	0.0040%	0.0000%

Terms and Conditions:	
1	The AMC/Trustee reserve the right to change/modify the load structure of the scheme, subject to maximum limits as prescribed under Regulations.
2	The commission rates are applicable for all purchases (including switch in, SIP, STP etc) made from 20-Jan-2022 to further notice.
3	The estimated Commission/ Brokerage indicated above is on a gross basis, and is inclusive of statutory taxes and fees, if any. The estimated commission is calculated on the basis of "Daily Average Asset" on the NAV and paid on monthly basis by Mutual Fund subject to fulfillment of T-BAC as per empowerment from/distributor agreement and would be net off any claw back, adjustment, refund etc, if any.
4	A GST registered distributor is required to issue a tax invoice for such tax amount.
5	All the registered distributors have to submit the GST invoice on monthly basis before 15th of the payment month. The invoice submission is enabled in CAMS distributor login page.
6	Commission will not be released until the amount payable exceeds Rs. 100 or on or before the end of the fiscal year end and will be directly credited to your bank account provided at the time of empowerment.
7	Decision of the WhiteOak Capital Asset Management Limited pertaining to brokerage calculation and the other matter's pertaining thereto shall be final & binding.
8	WhiteOak Capital Asset Management Limited reserves the right to change the applicable Brokerage rates as it may deem fit without any intimation / notification, in such refund or case of Prudential Redemption / withdrawal period for which commission is paid for Normal Purchases, Switch-in and for SIP/STP.
9	Commission paid is subject to claw-back as per code of conduct issued by APMT and SEBI Regulations amended from time to time. Distributors shall refund to AMC, either by set off against future commissions or payment, all monies of any nature, including commission, received.
10	The commission will be directly credited to the Bank Account, wherever applicable, as intimated by the Registered AMR holder.
11	Please refer to SEBI Circular No. 189/2019 (Pt. II) dated June 30, 2019 regarding transparency in commission. You are requested to take note and act accordingly as per paragraph 4 (b) of the said circular which reads as follows: "The distributors should disclose all the commission (in form of trail commission or any other mode) payable to them for the different competing schemes of various mutual funds from amongst which the scheme is being recommended to the investor".
12	The Commission structure indicated above is subject to Review from time to time and the AMC reserves the right to change the commission structure/period without any prior intimation for various reasons including to meet the regulatory requirements.
13	Effective September 1, 2020, APMT has introduced the Know Your Distributor ("KYD") norms applicable to all the Mutual Fund Distributors for their AMR registration and AMR renewal. As advised by APMT, advisors are required to be KYD compliant failing to which payment of commission will be suspended in full.
14	The commission structure is subject to SEBI regulatory guidelines as specified by SEBI APMT from time to time. Distributor shall adhere to all applicable SEBI regulations/Guidelines on Code of Conduct for intermediaries and other guidelines issued by SEBI APMT from time to time for Mutual Fund Distributor and ensure that no rebate is given to the investor in any form and there is no splitting of application for any benefit. WhiteOak Capital Asset Management Limited reserves the right to withdraw the payment in account of non-adherence to regulatory guidelines, mis-selling and non-adherence to code of conduct or any reason that WhiteOak Capital Asset Management Limited may deem fit. You are required to submit a Declaration of Self-Certification ("DSC") in the prescribed format latest by June 30 each year. In case of compliance with the DSC by June 30, payment of all types of commission shall be suspended till the requirements of furnishing the DSC are completed.
15	Distributors are requested to visit our website <a href="http://whiteoakamc.com">whiteoakamc.com</a> and read the latest Scheme Information Document (SID), Statement of Additional Information (SAI) and Key Information Memorandum (KIM) and various addendum issued from time to time to confirm the scheme details.
16	Commissionary Brokerage (Structure) received from the email id: <a href="mailto:info@whiteoakamc.com">info@whiteoakamc.com</a> shall be considered valid. AMC will not entertain or be liable for any commissionary Brokerage commission shared through any other Email, ID or WhiteOak Capital Asset Management Limited or its Employees.
17	Switches between the fund options is not applicable for Brokerage rates.
18	For SIP/STP the Brokerage rate will be based on transaction or SIP instalment date and not on registration date.
19	This letter supplements any other incentive/brokerage structure issued earlier to this period.
20	All your queries related to Brokerage should be written to <a href="mailto:info@whiteoakamc.com">info@whiteoakamc.com</a> .

Terms & Conditions of Goal SIP	
This form is for existing Goal SIP facility with or without SIP Top-Up Facility. WhiteOak Capital Goal SIP is an optional feature that allows investors to do the following:	
1. Do an SIP from the investor's existing scheme at the end of the pre-specified SIP Tenure/Period.	
2. Switch out of all units allotted in the Source Scheme to a new selected Target Scheme at the end of the SIP Tenure/Period and subsequently start a monthly SIP from the Target Scheme.	
For SIP(Source) and SIP(Target) Scheme names, please refer to point C in Terms and Condition section below.	

Terms & Conditions	
A. Goal SIP is to be registered into the below mentioned schemes for a fixed period of either 6 years, 10 years, 12 years, 15 years, 20 years, 25 years or 30 years.	
The following schemes are included for Goal SIP:	
1. WhiteOak Capital Balanced Advantage Fund, 2. WhiteOak Capital Large Cap Fund, 3. WhiteOak Capital Flexi Cap Fund, 4. WhiteOak Capital Mid Cap Fund, 5. WhiteOak Capital ELSS Tax Saver Fund, 6. WhiteOak Capital Multi Cap Fund, 7. WhiteOak Capital Multi Asset Allocation Fund, 8. WhiteOak Capital Balanced Hybrid Fund, 9. WhiteOak Capital Large & Mid Cap Fund.	
WhiteOak Capital AMC may modify the list of schemes participating in the Goal SIP feature. Please refer to Scheme Information Document and Addendum issued from time to time to find out the eligibility of the schemes for participation in the Special feature.	
B. Goal SIP is allowed only for the SIP Eligible schemes with monthly frequency. SIP and Switch shall be registered only in the "Growth" option of the Scheme.	
C. Please refer to the below table for the Source Schemes (SIP) and Target Schemes (Switch) available for this facility.	

Sr.No	SIP Scheme	SIP Scheme/Target Scheme	Sr.No	SIP Scheme	SIP Scheme/Target Scheme
1	WhiteOak Capital Large Cap Fund	WhiteOak Capital Large Cap Fund	4	WhiteOak Capital Large Cap Fund	WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Flexi Cap Fund			WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Balanced Advantage Fund			WhiteOak Capital Balanced Advantage Fund
		WhiteOak Capital Multi Asset Allocation Fund			WhiteOak Capital Multi Asset Allocation Fund
2	WhiteOak Capital Flexi Cap Fund	WhiteOak Capital Flexi Cap Fund	5	WhiteOak Capital Balanced Hybrid Fund	WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Large Cap Fund			WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Multi Asset Allocation Fund			WhiteOak Capital Balanced Advantage Fund
		WhiteOak Capital Multi Asset Allocation Fund			WhiteOak Capital Multi Asset Allocation Fund
3	WhiteOak Capital Mid Cap Fund	WhiteOak Capital Large Cap Fund	6	WhiteOak Capital Large & Mid Cap Fund	WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Flexi Cap Fund			WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Balanced Advantage Fund			WhiteOak Capital Balanced Advantage Fund
		WhiteOak Capital Multi Asset Allocation Fund			WhiteOak Capital Multi Asset Allocation Fund
4	WhiteOak Capital Multi Cap Fund	WhiteOak Capital Large Cap Fund	7	WhiteOak Capital Large & Mid Cap Fund	WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Flexi Cap Fund			WhiteOak Capital ELSS Tax Saver Fund
		WhiteOak Capital Balanced Advantage Fund			WhiteOak Capital Balanced Advantage Fund
		WhiteOak Capital Multi Asset Allocation Fund			WhiteOak Capital Multi Asset Allocation Fund

D. At the time of registration of Goal SIP, in case if the investor does not mention any Target Scheme for SIP, AMC will register such applications under Goal SIP instead of Normal SIP and the Source Scheme (SIP) entered in the application form will be considered as Default Target Scheme for Goal SIP. The year chosen (Direct or Regular) at the time of selecting the Source (SIP) scheme shall also be considered for the Target (SIP) scheme. For example, if the investor selects "Direct plan" for the SIP scheme, the Target scheme shall also be "Direct plan" and so on. (SIP amount will be as per the amount mentioned by the investor in the mandate form. The SIP Amount is subject to the immediate SIP amount for the respective scheme).	
E. On completion of the SIP period, the entire accumulated clear units of the respective Goal SIP will be transferred into a pre-defined SIP scheme, as opted by the investor, on T+15 days (T is the last SIP transaction date of the Goal SIP).	
F. During Goal SIP registration (Switch), the SIP scheme to be transferred into the SIP scheme and the SIP will be registered for Monthly frequency. The start date of SIP will be the month following the trigger date (last SIP date + 15 days) and the first date will be 1st of December 2020. However, the SIP under Goal SIP shall be processed till units are available. In case, the trigger date is a non-Business Day, the next business day will be taken. SIP date will be same as the SIP date.	
G. Post the transfer, SIP will be activated for the amount specified by the investor in the mandate form. In case, the investor does not do so in any SIP amount, default shall be considered as an initial SIP amount triggered.	
H. In case, the investor does not do so in any SIP amount, the default amount shall be 12 years. In case any other tenure is mentioned, apart from the ones mentioned in point A, the application shall be rejected. Purchase and Switch in (SI) is allowed under the SIP scheme and SIP amount being triggered would be on unit's basis first in first out (FIFO) basis.	
I. Investors can choose any date between 1 to 25 of each month to register any frequency available under the SIP facility. In case the date chosen for SIP falls on a non-Business Day or on a date which is not available in a particular month, the SIP will be processed on the immediate next Business Day.	
J. Investors/units holders desiring for Goal SIP are required to submit Goal SIP request by filing the application form at least 30 days prior to the date of first debit date and SIP start date shall not be beyond 250 days from the date of submission of request for Goal SIP.	
K. In case SIP date is not selected, then the Goal SIP will be registered on 15th (fifteenth) date of each Month, as applicable.	
L. If the investor has not mentioned the SIP start Month, SIP will start from the next applicable month, subject to completion of 30 days lead time from the trigger date of SIP.	
M. The first SIP (request) could be of any Business Day, but subsequent Auto Debit mandates/cheques should be for any date from 1st to 28th of a month and there should be a minimum gap of at least 21 working days between the 1st SIP transaction and the 2nd SIP. However, subsequent cheque/Auto Debit transaction date should have a gap of 30 days. In case the criteria are not met, the SIP would start on the same date from the next month. Units shall be allotted as per the valuation date. Investors can also start a Goal SIP directly without any initial investment, however he has to submit the application for allotment of Goal SIP on any working day but the subsequent instalment date of Goal SIP shall be any date from 1st to 28th of a month with a minimum gap criteria of 30 days between the submission of application form and the 1st SIP.	
N. In case of any ambiguity in the form, it is taken to get rejected.	
O. Goal SIP shall get discontinued in the following cases:	
a. On cancellation of SIP before the end of tenure, the underlying trigger and SIP will cease.	
b. In case, redemption / Switch Out (SO) is processed in SIP Scheme during the SIP tenure, the trigger and the SIP will cease but SIP shall continue.	
c. Redemption and SO is also allowed under the Target Scheme. In case redemption / SO processed in Source Scheme after the SIP tenure SO the execution of trigger switch, the trigger and the SIP will cease.	
d. In case investor's Scheme information is received during the SIP tenure, the switch and the SIP shall cease. In case investor's Scheme information is received post SIP tenure but up to December 2020 (or till units are available), the SIP shall cease.	
e. After a consecutive SIP transaction failure under Goal SIP for a Particular Scheme, the Goal SIP for the scheme shall get auto-cancelled & SIP Top Up & SIP Pause is allowed under this feature. However, the SIP would get registered only based on the initial SIP amount where investor has not specified the same in the application form.	
f. Goal SIP Top up start date will be considered from the processing of 1st instalment for respective frequencies. For e.g., if the Top Up option is for half yearly frequency, the Top Up will start from 7th November, onwards for yearly frequency, the Top Up will start from 13th November. Also note that the SIP Top Up and date will be considered up to the SIP tenure end date.	
g. Investors can opt for SIP Top Up facility with Fixed Top Up option or Variable Top Up option, wherein the amount of the SIP can be increased at fixed intervals. In case the investor opts for both options, the Variable Top Up option shall be triggered. And the default Variable Top Up percentage shall be 3%. Please note Variable Top Up Option is not available in WhiteOak Capital ELSS Tax Saver Fund.	
h. The Fixed Top Up amount shall be in multiples of ₹100/-, Variable Top Up amount shall be available at 5%, 10%, 15%, 20% and 25% and such other discrete amounts (over and above 5%, 10%, 15% and 20%) as opted by the investor in multiples of 5%.	
i. The frequency to Fixed i.e., either at Half or Half Yearly basis. In case the SIP Top Up facility is not opted by filing the appropriate form and frequency is not selected, the SIP Top Up may not be registered. In case of Quarterly SIP, only the Halfly frequency is available under SIP Top Up.	
j. Goal SIP Top up facility shall also be available only for the existing investors who have already registered for SIP facility without Top Up option. For such existing investors, who wish to add the Top Up facility, the application needs to be submitted by the 15th day prior to the next SIP instalment date, for the SIP to be added to happen in the existing OTM registered under the folio, subject to OTM Limit, validity and other standard conditions.	
k. Reser Fee status will not be allowed for Goal SIP registration.	
l. The minimum instalment amount under Goal SIP shall be an minimum amount prescribed for SIP/SIP under Monthly frequency in the respective schemes.	
m. Goal SIP is not available under DEMAT mode.	
n. In case if the investor does not fulfil any criteria, the Goal SIP application may be rejected. WhiteOak Capital Mutual Fund reserves the right to reject any application.	
o. To avail this facility, new investors need to fill Common Application form along with the Goal SIP Registration & OTM Debit Mandate Form. Investors wishing to invest in the existing folio need to fill All the Goal SIP Registration & OTM Debit Mandate Form, where a One Time Mandate is already registered in a folio for a bank account, the unit holder(s) will have to fill any the SIP Registration Form and there is no need of a separate cheque to be given along with the SIP Registration Form.	
p. In case of any discrepancy, AMC reserves the right to process it as a normal SIP.	
q. Investors can avail this facility by visiting our website <a href="http://whiteoakamc.com">whiteoakamc.com</a> . Online enrollment is also offered on Exchange Platforms, i.e., BSE STAR & MSE "eSIP".	